

K-16015/3/2017-AMRUT-IB
Government of India
Ministry of Housing & Urban Affairs
AMRUT-I Division

Nirman Bhawan, New Delhi,
the 04 Jan., 2019

To,

The Pay & Accounts Officer (Sectt.),
Ministry of Housing & Urban Affairs,
Nirman Bhawan,
New Delhi.

Sub: Release of part payment of second instalment of Central Assistance (CA) (40% of the eligible Central Assistance) of Rs.42,73,60,721/- to the Govt. of Uttarakhand against SAAPs - I & II under Atal Mission for Rejuvenation and Urban Transformation (AMRUT) - Reg.

Sir,

I am directed to convey the sanction of the competent authority for the release of an amount of Rs. 42,73,60,721/- (Rupees Forty Two Crore Seventy Three Lakh Sixty Thousand Seven Hundred Twenty One only) to the Govt. of Uttarakhand. This amount is being released towards the part payment of 2nd instalment of the Central Assistance (CA), which is 40% of the eligible Central Assistance under SAAP-I and SAAP-II, for the entire Mission period under Atal Mission for Rejuvenation and Urban Transformation (AMRUT) as project funds for implementation of projects related to Water Supply, Sewerage & Septage Management and Parks/Green spaces approved/taken up for the entire Mission.

2. The approved SAAP size of Uttarakhand is Rs.593.02 crore and the maximum commitment of Government of India to Uttarakhand is Rs. 533.72 crore for the entire Mission period, i.e., 2015-2020 for all three SAAPs. The 1st instalment of 20% of committed CA against all the three SAAPs totalling Rs.106.75 crore was released on the basis of estimated project cost.

3. As per Para 9.4 of the Mission guidelines, any excess or shortfall in the 1st instalment of 20% CA released on the basis of estimated cost shall be adjusted while releasing the 2nd instalment of CA which will be based on approved cost. **The approved cost is the appraised cost or tendered cost of the project (whichever is less) and has to be taken into account by the State High Power Steering Committee (SHSPSC).**

4. As per the details of cost of projects provided by the State Government vide letter dated 03.12.2018 (copy enclosed), the **“approved cost”** of projects under AMRUT for which tenders have been awarded by the Govt. of Uttarakhand is as given below:

(Rupees in crore)

SAAP	Estimated cost of projects as per approved SAAPs for the entire Mission period	Committed CA as per approved SAAPs for the entire Mission period	1 st instalment (20%) of CA already released to State Govt. against (a)	Approved cost of contract awarded project- as per Mission guideline and submission of State Govt.	Revised eligible CA against (c) as per approved cost of contract awarded projects	Eligible 2 nd instalment (40%) of CA calculated against (d) for contract awarded projects only
		(a)	(b)	(c)	(d)	
SAAP I	148.53	133.68	26.74	108.50	97.65	39.06
SAAP II	197.33	177.60	35.52	129.51	116.56	46.62
Total	345.86	311.28	62.26	238.01	214.21	85.68



5. Therefore, based on the revised eligible Central Assistance as described in Para 4 above, the eligible 2nd instalment (40%) for contract awarded projects under SAAP-I and SAAP-II amounts to Rs. 85.68 crore. The amount of Rs.42,73,60,721/- being released currently as part payment against 2nd instalment of CA may therefore be read as under:

(All amounts in Rupees)

2 nd instalment of CA as per approved cost of projects in SAAP-I & II as per para 4 above	75% of the eligible CA against (a) due to non submission of IRMA reports/ ATR	Interest earned/ accrued up to 31.03.2018 on CA released to be deducted	Penal interest to be levied due to delay in transfer of CA funds to the nodal account of State Mission Dir.	Eligible 2 nd instalment of CA against contract awarded projects of SAAP-I & SAAP-II	Amount to be deducted on account of excess release of 1 st instalment of CA in SAAP-I & II	Eligible 2 nd instalment of CA against contract awarded projects
(a)	(b)	(c)	(d)	(e) = [b-(c+d)]	(f)	(g)
85,68,00,000	64,26,00,000	1,44,00,000	66,39,279	62,15,60,721	19,42,00,000	42,73,60,721

6. The remaining amount of 25% (approx.) of the eligibility of Rs.85,68,00,000/- (refer para 4 & 5 above) will be released to the State Government on receipt of the report of Independent Review and Monitoring Agency (IRMA) which has been selected for Uttarakhand by this Ministry and compliance of the observations of IRMA by the State Government.

7. Further, at present, funds are being released only against such projects for SAAPs I & II for which contracts have been awarded by the State Government (refer para 4 above). For projects against which contracts are yet to be awarded by the State Government, the second instalment of eligible CA will be released as given below:

- i. All such projects in SAAP I & SAAP II for which contracts are yet to be awarded by the State Government will be grouped together. Second instalment of CA against these projects will be released **only when contracts for all these projects** have been awarded. The excess funds released to the State, if any, against part second instalment already released (by then), will be adjusted while releasing that part of the second instalment of CA against the projects of this group (as calculation of **approved cost** for the entire Mission period as per Mission guidelines will be feasible then).
- ii. **CA will not be released project-by-project in any case** for this group of projects, but in a single consolidated assemblage and State shall submit their claim against such projects (of this group) in a consolidated manner only. No project-by-project claims will be entertained by the Ministry.
- iii. The third instalment of CA will be released **only on the basis of approved cost** and for the projects for which contracts have already been awarded by the State by then.

8. Diversion of Central Grants for purposes other than the Mission projects is not allowed and shall entail levy of penal interest on the amount and any other action by the Apex Committee and may include adverse effect on release of grants.

9. As per the provision of the Mission's Guidelines, State Government is required to release the Central Assistance funds along with State share to the nodal account of the State Mission Director within one month of release of Central share by the Ministry otherwise interest at the rate specified by the Ministry of Finance shall be levied on the State for delay and appropriate deductions made from future instalments.

10. This release will be governed in terms of provisions of GFR. The amount of Central Assistance should be kept in separate account and be open to inspection/Audit as per provisions.

11. State Government may take necessary action in respect of observations/comments made by Apex Committee and recorded in the minutes of 6th, 13th and 16th meetings of Apex Committee.

12. The sanction has been entered at Sl. No. 18 of the appropriate head of the sanction register maintained in AMRUT-1B section for the Financial Year 2018-19.

13. The expenditure involved is debit to the Budget Head 3601.06.101.24.01.35 under Grant No. 56 - Ministry of Housing & Urban Affairs for the year 2018-19 (Plan).

14. The amount of Rs.42,73,60,721/- will be credited to the State Government's account to RBI as per procedure laid down by Ministry of Finance, Department Expenditure vide OM No. F-II (45/76/SC) dated 22.02.1977.

15. This issues with the concurrence of Integrated Finance Division vide Electronic File No. E-3127645 dated 28.12.2018 and approval of competent authority.

Yours faithfully



(Rajesh Kumar)

Under Secretary to the Govt. of India

राजेश कुमार/RAJESH KUMAR

अवर सचिव/Under Secretary

आवासन और शहरी कार्य मंत्रालय

Ministry of Housing and Urban Affairs

भारत सरकार/Govt. of India

निर्माण भवन, नई दिल्ली.-110011

Nirman Bhawan, New Delhi-110011

Copy to: -

1. Principal Secretary cum Mission Director, Urban Development & Housing Department, Government of Uttarakhand, Dehradun. The amount released should be utilized for the purpose for which it has been sanctioned and **Utilization Certificate may be furnished within six months.**
2. The Accountant General (A&E), Uttarakhand, Dehradun.
3. Budget and Account Section, Ministry of Housing & Urban Affairs.
4. The Principal Director of Audit, Economic & Service Ministries, AGCR Building, I.P. Estate, New Delhi-110002.
5. Integrated Finance Division, MoHUA.
6. Guard file of the Section.



(Rajesh Kumar)

Under Secretary to the Govt. of India

Tel: 011-23061407

राजेश कुमार/RAJESH KUMAR

अवर सचिव/Under Secretary

आवासन और शहरी कार्य मंत्रालय

Ministry of Housing and Urban Affairs

भारत सरकार/Govt. of India

निर्माण भवन, नई दिल्ली.-110011

Nirman Bhawan, New Delhi-110011

CALCULATION OF ELIGIBLE CENTRAL ASSISTANCE (CA) FOR UTTARAKHAND ON THE BASIS OF FUNDING PATTERN AND APPROVED COST

Note:

i. Approved cost is the tendered or appraised cost of the project, whichever is lower.

ii. Applicable CA has been calculated **based on the details provided by the State and only against projects for which contracts have been awarded** by the State Government.

SAAP I

Sector :- Sewerage and septage Management								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
DEHRADUN								
1	UTT-DEH-004/Laying of Sewer line at Vani Vihar,Jain plot & adjoining area Zone-C	4.04	15.12.2015	4.04	25.06.2016	4.04	3.64	95
2	UTT-DEH-006/Laying of Sewer line at Haridwar bypass and nearby area of Vishnu Vihar	4.26	15.12.2015	4.26	21.03.2016	4.26	3.83	90
3	UTT-DEH-008/Laying of sewer line in different left over areas of Dehradaun part- 2	0.8	28.07.2016	0.8	15.10.2016	0.80	0.72	90
4	UTT-DEH-005/laying of sewer line at Chanchal sweet and adjoining areas	2.6	15.12.2015	2.6	21.03.2016	2.60	2.34	100
5	UTT-DEH-007/Laying of sewer line at Muslim colony	0.8	15.12.2015	0.8	01.12.2016	0.80	0.72	100
HALDWANI								
6	UTT-HAL-001/Haldwani-Kathgodam Sewerage Part I	2.81	15-12-2016	1.89	27-05-2017	1.89	1.70	0.88
HARIDWAR								
7	UTT-HAR-002/ Haridwar Municipal waste water- Sewer Network and allied works at Lal Mandir, Jwalapur	1.43	15-12-2016	1.16	26-Nov-16	1.16	1.04	100.00
KASHIPUR								
8	UTT-KAS-005/Kashipur Septage Scheme, Part-1	35.24	04.01.2017	35.38	09.10.2017	9.50	8.55	0.15

Sector :- Water Supply								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
DEHRADUN								

1	UTT-DEH-013/water supply distribution system for 23 zones under Amrut PART-I(DPR Cost 128.56 Crs)	22.03	28.07.2016	22.03	2.01.2017	22.03	19.83	40
2	UTT-DEH-014/construction of tubewell,pumphouse,OHT, rising main at Aman Vihar	2.69	28.07.2016	2.69	01.12.2016	2.69	2.42	95
3	UTT-DEH-019/Officers colony (old) Race course Pumphouse & tube well construction	0.88	28.07.2016	0.88	15.12.2016	0.88	0.79	100
4	UTT-DEH-015/construction of tubewell,pumphouse,OHT, rising main at Kedarpuram	1.31	28.07.2016	1.31	22.09.2016	1.31	1.18	90
5	UTT-DEH-016/Construction of tubewell, Pump house and rising main at Harivihar and vijay park	0.99	28.07.2016	0.99	25.05.2017	0.99	0.89	80
6	UTT-DEH-017/Construction of tube well at Bhrahampuri, narayan vihar,kargi,hathi barkala,brijlok,indira mohalla,salawala at dehradun	5.41	28.07.2016	5.41	10.05.2017	5.41	4.87	80
7	UTT-DEH-012/Laying of Pipeline at Dehrakhash (ward no-42)	1.06	28.07.2016	1.06	16.10.2016	1.06	0.95	100
8	UTT-DEH-011/Left Over Area water supply Scheme (wing no-06)	2.13	28.07.2016	2.13	01.12.216	2.13	1.92	100
HALDWANI								
9	UTT-HAL-006/Reorganisation of distribution system of Awas Vikas Colony, Subhash Nagar, Degree College and Mahila Degree College	12	26-05-2017	8	01-11-2017	8.00	7.20	0.56
KASHIPUR								
10	UTT-KAS-002/Kashipur Water Supply Scheme Sub ZONE-1 A	3.69	15.12.2016	3.36	23.12.2016	3.36	3.02	0.9
11	UTT-KAS-003/Kashipur Water supply Scheme for Zone-1 B	6.67	02.01.2017 23.05.2018	5.34	09.02.2017	5.34	4.81	0.87
12	UTT-KAS-004/Kashipur Water supply Scheme for Zone- 5, Part-1	16.18	05.03.2018	13.63	14.03.2018	1.64	1.48	0.11
RUDRAPUR								
13	UTT-RUD-001 RUDRAPUR water supply scheme zone-1 (For Rampura East & Rampura Centre ward)	11.44	05.03.2018	10.23	21.03.2018	10.23	9.21	0.21
14	UTT-RUD-004 RUDRAPUR water supply scheme zone-2(For ward no.2 Transit camp(partial)) PART-1	8.06	05.03.2018	7.98	21.03.2018	7.98	7.18	0.23

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Sector :- Strom water Drainage :-								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
DEHRADUN								
1	UTT-DEH-010/Drainage system at Anurag nursery, Kanwali road	0.47	15.12.2015	0.47	11.08.2016	0.47	0.42	50
2	UTT-DEH-009/Drainage system at Panditwadi & Dhartawala area Dehradun	5.99	15.12.2015	5.99	11.08.2016	5.99	5.39	90

Sector :- Urban Green Space Park:-								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
DEHRADUN								
1	UTT-DEH-003/Rejuvenation of Lala Lajpat Rai Park Indra Nagar colony Dehradun	0.25	28.07.2016	0.25	1.04.2017	0.25	0.23	100
2	UTT-DEH-002/Rejuvenation of Tilak Park Doon Vihar colony Jakhan Dehradun	0.25	28.07.2016	0.25	8.12.2016	0.25	0.23	85
3	UTT-DEH-001/Construction of children park at Gandhi park	1.5	28.07.2016	1.5	8.12.2016	1.49	1.34	100
HALDWANI								
4	UTT-HAL-004/REJUVENATION OF PARK UNDER AMRUT YOJNA IN SUBHASH NAGAR, HALDWANI, DISTRICT-NAINITAL	0.4	15-12-2016	0.389	09-08-2016	0.39	0.35	1
HARIDWAR								
5	UTT-HAR-001/ Govind Puri Park, Haridwar	0.23	04-11-2016	0.22	05-Dec-16	0.22	0.20	100
6	UTT-HAR-007/ Shaheed Jagdish Vats Park, Pul Jatwara, Jwalapur, Haridwar	0.25	02-01-2017	0.25	28-Apr-17	0.25	0.23	100
KASHIPUR								
7	UTT-KAS-001/Chaudhary Charan Singh Park (Park A) & Awas Vikas Colony (Park B)	0.3	20.09.2016	0.22	24.12.2016	0.22	0.20	1
ROORKEE								
8	UTT-/ Awas Samta Park	0.31	42737	0.25	42836	0.25	0.23	0.9
9	UTT-/Chandrapuri Park	0.42	42737	0.32	42836	0.32	0.29	0.9
RUDRAPUR								

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10	UTT-RUD-002 REJUVENATION OF PARK TRANSIT CAMP C-BLOCK WARD NO.1 RUDRAPUR	0.3	29.07.2016	0.2994	15.10.2016	0.30	0.27	0.99
Total (SAAP 1)		157.19		146.3784		108.50	97.65	

SAAP II

Sector :- Sewerage and septage Management								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
<u>DEHRADUN</u>								
-								
1	UTT-DEH-020/Laying of sewer for karanpur, dehradun	5.02	28.07.16	5.02	1.12.2016	5.02	4.52	95
2	UTT-DEH-021/ Laying of Sewer line at sailok phase-2	5.30	28.07.16	5.30	3.12.2016	5.30	4.77	95
3	UTT-DEH-022/Laying of Sewer line in left over areas (part-1)	9.02	28.07.16	9.02	3.01.2016	9.02	8.12	90
<u>HALDWANI</u>								
4	UTT-HAL-005/Haldwani-Kathgodam Sewerage Part II	1.34	26-05-2017	0.49	10-07-2017	0.49	0.44	1
<u>HARIDWAR</u>								
5	UTT-HAR-008/ Sewerage network and allied works in (Kumar Gadha, Gyanlok & Sanyas Road) area in Zone-D1 in Haridwar town.	2.64	02-01-2017	2.56	04-Sep-17	2.56	2.30	100% pipe line laid
6	UTT-HAR-009/ Sewerage network & allied works in (Mishra Garden, Alankar Vihar & Hanuman Gadhi) Area in Zone-D2 in Haridwar town.	2.81	02-01-2017	1.41	25-Sep-17	1.41	1.27	82
7	UTT-HAR-0010/ Sewer Network & allied works in Zone D3 (Gurubaksh Vihar, Sandesh vihar & Sarvapriya vihar)	2.71	02-01-2017	1.32	23-Jan-18	1.32	1.19	47
8	UTT-HAR-0011/ Sewerage system in (Arya Nagar) area Zone E1 in Haridwar	2.95	02-01-2017	2.75	01-Sep-17	2.75	2.48	100% pipe line laid
9	UTT-HAR-0012/ Sewer network & allied works Zone E2 (Tibdi, Chandracharya chowk area)	1.76	02-01-2017	1.45	04-Sep-17	1.45	1.31	99

10	UTT-HAR-0013/ Sewer network & allied works Zone F1(Babar colony, Pawan vihar, Trimurti nagar area) in Haridwar	5.86	02-01-2017	5.86	21-Aug-17	5.86	5.27	85
11	UTT-HAR-0014/ Sewerage network & allied works in (Chaklan & Ahbab Nagar) area in Zone-F2 in Haridwar	3.92	02-01-2017	2.4	01-Sep-17	2.40	2.16	59
12	UTT-HAR-0015/ Sewer network & allied works in (Balmiki basti & Ghas mandi mohalla area) Zone F3 in Haridwar	1.87	02-01-2017	1.87	21-Aug-17	1.87	1.68	88
13	UTT-HAR-020/ Sewerage network & allied works in (Rishikul to MPS Mayapur) Zone C in Haridwar (DPR C-1) Part -I of Rs. 2.48 Cr. out of Rs. 3.89 Cr. EFC approved	2.48	26-05-2017	2.31	25-Sep-17	2.31	2.08	83
<u>KASHIPUR</u>								
14	UTT-KAS-008/Kashipur Septage Scheme, Part-II	35.24	04.01.2017	35.38	09.10.2017	9.99	8.99	0.15

Sector :- Water Supply								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
<u>DEHRADUN</u>								
1	UTT-DEH-018/water supply distribution system for 23 zones under Amrut PART-II(DPR Cost 128.56 Crs)	54.5	28.07.2016	54.5	2.01.2017	54.50	49.05	35
<u>HALDWANI</u>								
2	UTT-HAL-007/Reorganisation of distribution system of Awas Vikas Colony, Subhash Nagar, Degree College and Mahila Degree College	5.22	26-05-2017	0.514	01-11-2017	0.51	0.46	0.3
<u>KASHIPUR</u>								
3	UTT-KAS-009/Kashipur Water supply Scheme for Zone- 5, Part-2	16.18	05.03.2018	13.63	14.03.2018	10.00	9.00	0.11
<u>RUDRAPUR</u>								
4	UTT-RUD-007 RUDRAPUR water supply scheme zone-2 For ward no.2 Transit camp(partial)) PART-2	10.23	05.03.2018	9.11	21.03.2018	9.11	8.20	0.23

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Sector :- Urban Green Space Park:-								
Sl. No	Project ID / Project Name	Appraisal cost of DPR in Cr	Date of Approval Of DPR	Tender Award Cost	Date of award of contract	Approved Cost	Applicable CA	Physical progress (%)
<u>DEHRADUN</u>								
1	UTT-DEH-024/ gandhi park Phase-II	1.5	28-07-16	1.5	03-07-2018	1.50	1.35	20
<u>HALDWANI</u>								
2	UTT-HAL-010/EJUVENATION OF PARK IN Children Uper GEETA BHAWAN PARK , HALDWANI, DISTRICT-NAINITAL	0.396	27-10-2017	0.375	26-04-2018	0.30	0.27	0.05
<u>HARIDWAR</u>								
3	UTT-HAR-017/ Shravan Nath Park, Mayapur	0.23	02-01-2017	0.22	28-Apr-17	0.22	0.20	92
4	UTT-HAR-018/ Himgiri Vihar Colony park, Krishna Nagar, Kankhal	0.22	02-01-2017	0.22	28-Apr-17	0.22	0.20	76
5	UTT-HAR-019/ Bharatmata Puram Colony park, Bhopatwala	0.3	27-10-2017	0.29	09-May-18	0.29	0.26	work started
<u>KASHIPUR</u>								
6	UTT-KAS-006/Park opposite Ansari Construction in Ward No. 8	0.2313	25.10.2017	0.2293	3.05.2018	0.23	0.21	0.3
<u>NAINITAL</u>								
7	UTT-HAL-003/REJUVENATION OF MAHARSHI VALMIKI PARK UNDER AMRUT YOJNA	0.12	27-10-2017	0.115	31-01-2018	0.12	0.10	0
<u>ROORKEE</u>								
8	UTT- Keshav Park Ramnagar	0.21	43241	0.12	43362	0.12	0.11	0
9	UTT-Malviya Park Saket	0.13	43241	0.05	43362	0.05	0.05	0
10	UTT-Narayan park Awas Vikas Colony	0.19	43241	0.09	43362	0.09	0.08	0
<u>RUDRAPUR</u>								
11	UTT-RUD-003 EK RAM ARYA PARK WARD NO. 7 RUDRAPUR	0.36	04.11.2016	0.36	07.06.2017	0.36	0.32	0.8
12	UTT-RUD-006 Rejuvenation of subhash park ward no.2 Rudrapur (Part-1)	0.14	02.01.2017	0.14	19.07.2017	0.14	0.13	0.8
Total (SAAP 2)		173.0773		158.6033		129.51	116.56	

RK