

**TABLE OF CONTENTS**

<b>S.No.</b>	<b>Contents</b>	<b>Page No.</b>
1	Checklist – Consolidated State Annual Action Plan of all ULBs to be sent for Assessment by MoUD	3-5
2	Minutes of State High Powered Steering Committee (SHPS) Meeting	6-7
3	Chapter 1: Project Background & Summary	8-25
	SAAP TABLES: Table No-1.1, 1.2.1, 1.2.2, 1.3, 1.4, 3.2 and 3.4	
4	Chapter 2: Review of SAAPs	25-56
	Table for physical and financial progress Review; Table for Progress Review; Table for progress review of the Capacity Plan; Table for progress review of the Reforms; Table indicating the status of funds release and resource mobilization	
5	Chapter 3: State Annual Action Plan (SAAP)	56-90

Table No-3.1; 3.5; Table showing projects being proposed for approval to the Apex Committee; Table no 5.2, 7.2.1; 7.2.2; 7.2.3; 4; 3.4 and 3.3	
--	--

**Table 6.2: Checklist- Consolidated State Annual Action Plan of all ULBs to be sent for Assessment by MoUD**

Sl.No.	Points of Consideration	Yes/No	Give Details
1	Have all the Cities prepared SLIP as per the suggested approach?	Yes	First Priority has been given to Water Supply.
2	Has the SAAP prioritized proposed investments across cities?	Yes	All 4 ULBs are assessed based on existing level of service for Universal Coverage and accordingly, prioritized proposed investment across cities.
3	Is the indicator wise summary of improvements proposed (both investments and management improvements) by State in place?	Yes	Indicator wise improvements proposed both for investment and management has been considered as per requirement.
4	Have all the cities under Mission identified/done baseline assessments of service coverage indicators?	Yes	Base line assessment of service coverage has been done for all 4 mission cities i.e Guwahati, Nagaon, Dibrugarh and Silchar
5	Are SAAPs addressing an approach towards meeting Service Level Benchmarks agreed by Ministry for each Sector?	Yes	SAAP has been prepared to meet Service Level Benchmarks as agreed by Ministry for each Sector i.e. Water Supply and Parks

6	Is the investment proposed commensurate to the level of improvement envisaged in the indicator?	Yes	Investment proposed commensurate with Service Level Improvement envisaged in the indicator
7	Are State Share and ULBs share in line with proposed Mission approach?	Yes	State shares are proposed in line of Mission approach. As per the OM issued from MoUD funding to NE States is 90:10. The 10% share of the project cost will be borne by the State.
8	Is there a need for additional resources and have state considered raising additional resources (State programs, aided projects, additional devolution to cities, 14 <sup>th</sup> Finance Commission, external sources)?	Yes	Efforts are being made to mobilize additional financial resource through 14th Finance Commission, State programmes , PPP options & own funds of ULBs etc.,.
9	Does State Annual Action Plan verify that the cities have undertaken financial projections to identify revenue requirements for O&M and repayments?	Yes	SAAP has been prepared considering O & M charges to be reimbursed by collecting User Charges, cost of O & M to be borne by state and ULBs
10	Has the State Annual Action Plan considered the resource mobilization capacity of each ULB to ensure that ULBs share can be mobilized?	Yes	There is no ULB contribution. However, effort will be made made to mobilise additional financial resource through 14th Finance Commission, State programmes , PPP options & own funds of ULBs etc if required based on provisions and requirement of DPR.

11	Has the process of establishment of PDMC been initiated?	Yes	Yes. RFP for PDMC has already been issued and Pre-Bid meeting also held on 15 <sup>th</sup> November. The procurement process of PDMC is expected to be completed by 2nd half of January, 2017.
12	Has a roadmap been prepared to realize the resource potential of the ULB?	Yes	Resource potential of each ULB has been considered while preparing the SAAP. Alternate fund sources for financially weak ULBS is being arranged. The technical expertise of PDMC will also be utilized in finalizing the road map.
13	Is the implementation plan for projects and reforms in place (Timelines and yearly milestones)?	Yes	All ULBs concerning implementation of reforms have been directed to implement reforms in given time lines.
14	Has the prioritization of projects in ULBs been done in accordance with para 7.2 of the guidelines?	Yes	Prioritization of projects has been done accordance to AMRUT Guidelines (para7.2).

Minutes of the SHPSC meeting is attached as Annexure-“A”.

(State Mission Director)

**Minutes of State High Powered Steering Committee (SHPS) Meeting:  
Annexure-A**



---

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

---



## Chapter 1: PROJECT BACKGROUND AND SUMMARY

**AMRUT Mission** was launched in June 2015, the AMRUT Mission focuses on provision of basic services - water supply; sewerage; storm water drainage; pedestrian, non-motorized and public transport facilities; development of parks and open spaces.

The total outlay for AMRUT is Rs. 50,000 crore for 5 years from FY 2015-16 to FY 2019-20 and the Mission is operated as a Centrally Sponsored Scheme.

- (1) Project fund – 80% of the annual budgetary allocation
- (2) Incentive for Reforms – 10% of the annual budgetary allocation
- (3) State funds for Administrative & Office Expenses (A&OE) – 8% of annual budgetary allocation
- (4) MoUD funds for Administrative & Office Expenses (A&OE) – 2% of annual budgetary allocation

Under this Mission, States get the flexibility of designing schemes based on the needs of identified cities and in their execution and monitoring. States have to evaluate Service Level Improvement Plans (SLIP) prepared by ULBs as well as to prepare State Annual Action Plan (SAAP), which have to be submitted to Apex Committee of MoUD for approval, based on which funds will be released. MoUD will not appraise individual projects. As per the guidelines Central assistance will be

- To the extent of 50 percent of project cost for cities and towns with a population of up to 10 lakh
- One-third of the project cost for those with a population of above 10 lakh. Central assistance will be released in three installments in the ratio of 20:40:40 based on achievement of milestones indicated in State Annual Action Plans.

***However, as per the OM issued by MOUD the Central assistance will be 90% of the project Cost for North Eastern States.***



There are 4 cities in Assam that are eligible for coverage under the programme. This is shown in Annex 1.

As communicated vide letter NoDO.NoK-14012/95/2015-AMRUT-I dated 6<sup>th</sup> June, 2016, the Central Assistance under AMRUT to various states for 2017-20 the allocation of Central Fund in respect of Govt. of Assam for which SAAP 2017-20 is to be submitted is amounting to Rs 225.28 Crores.

## STATE SCENARIO: ASSAM

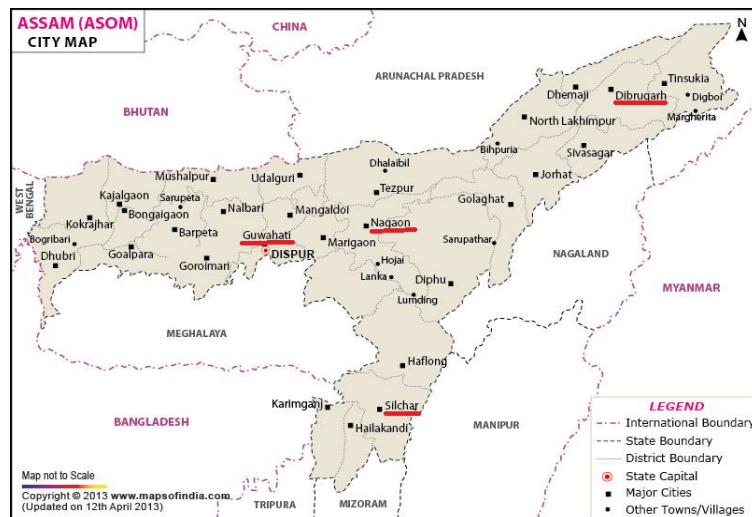
### Introduction

The State of Assam has an urban population of 0.44 crores accounting for about 14% of the total population of the State, that is, 3.12 crores. Total number of towns is 214 and total ULB is 97.

As per census 2011, there was 1 Class 1A town, 3 Class IB towns, 25 Class IC towns, 33 Class II towns, 78 Class III towns and 27 Class IV & below level towns. 87 are classified as Nagar Panchayats (Municipal Panchayats).

### AMRUT Towns

4 towns of Assam have been selected for the AMRUT Mission as shown in the list below and in the map. State has analysed the inter-ULB allocation based on gap analysis and financial strength of ULBs and choose those ULBs in the first year that have higher gaps in provision of water supply and sewerage. The prioritization of ULBs for funding are made after consultation with Commissioner/Executive Officer, Chairman of Municipalities, local MPs, Mayors and Councilar/Commissioners



of the concerned ULBs. Financially weaker ULBs are identified for more allocation. Moreover, the potential Smart city is given preference, based on this exercise city projects have been identified. All 4 cities had prepared and submitted their SLIP. Out of which, projects for universal coverage for drinking water and waste water (sewerage) were identified. The convergence with Swachh Bharat Mission, Digital India and Smart City Mission (Guwahati) has been adequately taken care of while preparing the SAAP.

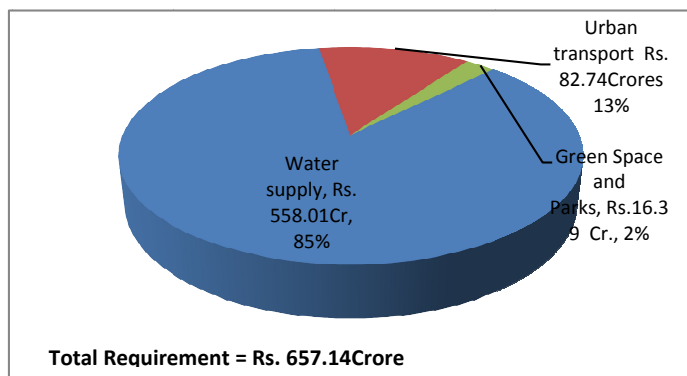
**ANNEXURE-I**

S. No.	Name of Town	Urban Population in Town (Census 2011)
1	Guwahati	9,57,352
2	Silchar	1,72,709
3	Dibrugarh	1,38,661
4	Nagaon	1,17,722

The sectors which have been addressed under the Mission are:

- Water Supply
- Non-motorized Transport
- Development of Green Spaces and Parks

### Gap Assessment and Project Cost



The existing conditions of municipal services have been assessed in each of the AMRUT ULBs and demand-supply gap assessed. The gap has been considered together with the projected population and list of projects identified. This list was then discussed in the ULB and linked to the available finances to determine the priority projects in each of the ULBs. To achieve the service level bench marks in Water Supply in all 4 mission cities, the state needs to spend **Rs.**

**657.14Crore.** The sector wise requirement of fund has been shown in the graph. The fund requirement to achieve universal coverage in Water Supply, under the Mission period is **Rs. 558.01 Cr.**

MoUD vide D.O.No. K-14012/95/2015-AMRUT-I dated June 6, 2016, has communicated the entire Mission period allocation for AMRUT. States and UTs are requested to start working on the 3rd and final SAAP consistent with the Mission priority of universal coverage and drinking water followed by sewerage and other components. The 3rd SAAP for the balanced share available covering the entire Mission period allocation is to be submitted to MoUD by 15<sup>th</sup> November, 2016 vide letter F.No. K-16012/04/2016-AMRUT – II dated 28<sup>th</sup> October, 2016.

The total allocation for Assam till 2020 for ACA is **Rs 591.42 Cr** and for SAAP is **Rs 657.14 Cr**. Considering the financial position of the state of Assam it is decided to take schemes under only three sectors, namely – Water Supply, Non motorised transport and Green Spaces & Parks. **Funding Pattern:** Centre: **90%** State: **10%**.



State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20



**Table 1.1: Breakup of Total MoUD Allocation in AMRUT**

FY 2017-20  
(Amount in Rs. Crores)

Total Central funds to be allocated to State	Allocation of Central funds for A&OE	Allocation of funds for AMRUT (Central share)	Multiply col. 3 by x3) for AMRUT on col. 4 (Allocated vide D.O.No K-14012/95/2015-AMRUT - I dated 06 -06 -2016)	Add State/ ULB share	Total AMRUT annual size (cols.2+4+5)
1	2	3	4	5	6
117.022	41.932*	75.09	225.28	25.03	292.24

- Total A&OE –Rs. 52.42 cr.,
- SAAP 2015-16 – Rs. 5.24 Cr.
- SAAP 2016-17 – Rs. 5.248 Cr.
- SAAP 2017 – 20 – Rs. 41.932 Cr.

**Table 1.2.1: Abstract-Sector Wise Proposed Total Project  
Fund and Sharing Pattern**

FY 2017-20  
(Amount in Rs. Crores)

Sl. No	Sector	No. of Projects	Centre	State	ULB	Convergence	Others	Total
1	Water Supply	1	145.22	16.13	0	0	0	161.35
2	Urban Transport(Non motorised transport)	1	74.47	8.27	0	0	0	82.74
3	Others (Parks & Open spaces)	4	5.59	0.63	0	0	0	6.22
5	<b>Total</b>	<b>6</b>	<b>225.28</b>	<b>25.03</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>250.31</b>
6.	<b>A &amp; O.E @ 8% of central grant</b>		<b>41.932</b>					<b>41.932</b>

**Table 1.2.2: Abstract-Break-up of Total Fund Sharing Pattern**

FY 2017-20  
(Amount in Rs. Crores)

Sl. No	Sector	Centre	State			ULB			Convergence	Others	Total
		Mission	14th FC	Others	Total	14th FC	Others	Total			
1	Water Supply	145.22	0	16.13		0	0	0	0	0	161.35
2	Urban Transport(Non motorised transport)	74.47	0	8.27	8.27	0	0	0	0	0	82.74
3	Others (Parks & Open spaces)	5.59	0	0.63	0.62	0	0	0	0	0	6.22
	<b>Total</b>	<b>225.28</b>	<b>0</b>	<b>25.03</b>	<b>25.03</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>250.31</b>
5	A & O.E @ 8% of Central Grant	41.932	0								41.932
6	<b>Grand Total</b>	<b>225.28</b>	<b>0</b>	<b>25.03</b>	<b>25.03</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>292.24</b>



--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Table 1.3: Abstract-Use of Funds on Projects: On Going and New**

(Amount in Rs. Crores)

S I N O	Sector	Total Project Investment	Committed Expenditure (if any) during year 2015-16						Committed Expenditure (if any) during Current Financial year 2016-17						Proposed spending for Next Financial Years Till 2020								
			Centre	State			ULB			Centre	State			ULB			Centre	State			ULB		
				14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total
1	Water Supply	558.01	165.11	18.35	0	18.35	0	0	0	191.87	0	21.33	21.33	0	0	0	145.22	0	16.13	16.13	0	0	0
2	Sewerage & Septage Management	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Others (Parks & Open	16.39	4.23	0.47	0	0.47	0	0	4.93	0	0.54	0.54	0	0	0	5.59	0	0.62	0.62	0	0	0	0

	spaces)																						
4	Drainage	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5	Urban Transport( Non motorised transport)	82.74	0	0	0	0	0	0	0	0	0	0	0	0	74.47	8.27	8.27	8.27	0	0	0	0	0
6	<b>Total</b>	657.14	169.34	18.82	18.82	0	0	0	196.80	0	21.87	21.87	0	0	0	225.28	0	25.31	25.31	0	0	0	0

**Table1.4: Abstract-Plan for Achieving Service Level Benchmarks**

Proposed Priority Projects	Total Project Cost	Indicator <sup>1</sup>	Baseline <sup>2</sup>	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY2016		FY	FY	FY	FY
				H1	H2	2017	2018	2019	2020
<b>Water Supply</b>									
1. Guwahati	0	1. Household level coverage of direct water supply connections	To be provided by other source of funding which is under execution.						
		2. Per capita quantum of water supplied	100 lpcd	100lpcd	100 lpcd	110 lpcd	120 lpcd	135 lpcd	135lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
2. Nagaon	213.20	1. Household level coverage of direct water supply connections	65%	65%	65%	70%	80%	100%	100%

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

		2. Per capita quantum of water supplied	85 lpcd	85 lpcd	85 lpcd	90 lpcd	110 lpcd	135 lpcd	135 lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
3. Dibrugarh	183.46	1. Household level coverage of direct water supply connections	65%	65%	65%	75%	85%	100%	100%
		2. Per capita quantum of water supplied	85 lpcd	85 lpcd	85 lpcd	100 lpcd	115 lpcd	135 lpcd	135 lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
4. Silchar	161.35	1. Household level coverage of direct water supply connections	70%	70%	70%	70%	80%	90%	100%
		2. Per capita quantum of water supplied	95 lpcd	95 lpcd	95 lpcd	95 lpcd	100 lpcd	125 lpcd	135 lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
<b>Total</b>	<b>558.01</b>								
<b>Sewerage &amp; Septage Management</b>									
5. Guwahati	0	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-

		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in treatment	-	-	-	-	-	-	-
6. Nagaon	0	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-
		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in treatment	-	-	-	-	-	-	-
7. Dibrugarh	0	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-
		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in treatment	-	-	-	-	-	-	-
8. Silchar	0	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-
		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-

		4. Efficiency in treatment	-	-	-	-	-	-	-
Total	0								
<b>Others – Parks and Open Spaces</b>									
9. Guwahati	4.38	1. Per Person Open Space in Plain Areas as per URDPFI	-	-	-	7.0 Sqm	8.0 Sqm	9.0 Sqm	10.0 -12.0 Sqm
10. Nagaon	4.00	1. Per Person Open Space in Plain Areas as per URDPFI	1.15 Sqm	1.15 Sqm	1.15 Sqm	2.5 Sqm	5.0 Sqm	7.50 Sqm	10.0 -12.0 Sqm
11. Dibrugarh	4.00	1. Per Person Open Space in Plain Areas as per URDPFI	1.20 Sqm	1.20 Sqm	1.20 Sqm	2.5 Sqm	5.0 Sqm	7.50 Sqm	10.0 -12.0 Sqm
12. Silchar	4.00	1. Per Person Open Space in Plain Areas as per URDPFI	4.0 Sqm	4.0 Sqm	4.0 Sqm	5.0 Sqm	7.0 Sqm	9.0 Sqm	10.0 -12.0 Sqm
<b>Total</b>	<b>16.38</b>								
<b>Drainage</b>									
13. Guwahati	0	1. Coverage of storm water drainage network	-	-	-	-	-	-	-
14. Nagaon	0	1. Coverage of storm water drainage network	-	-	-	-	-	-	-
15. Dibrugarh	0	1. Coverage of storm water drainage network	-	-	-	-	-	-	-

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

16 Silchar	0	1. Coverage of storm water drainage network	-	-	-	-	-	-	-
<b>Total</b>	<b>0</b>								
<b>Urban Transport(non-motorised transport)</b>									
17. Guwahati	82.74	1. Service coverage of urban transport in the city		--	--	--	--	--	25%
		2.Availability of urban transport per 1000 population		--	--	--	--	--	25%
18. Nagaon	0	1. Service coverage of urban transport in the city- Cycle Track	-	-	-	-	-	-	-
		2.Availability of urban transport per 1000 population	-	-	-	-	-	-	-
19. Dibrugarh	0	1. Service coverage of urban transport in the city- Cycle Track	-	-	-	-	-	-	-
		2.Availability of urban transport per 1000 Population	-	-	-	-	-	-	-
20. Silchar	0	1. Service coverage of urban transport in the city- Cycle Track	-	-	-	-	-	-	-
		2.Availability of urban transport per 1000 population	-	-	-	-	-	-	-
<b>Total</b>	<b>82.74</b>								

**Table 3.2: SAAP- Sector Wise Breakup of Consolidated Investments  
for all ULBs in the State**

(Amount in Rs. Crores)

Name of City	Water Supply	Sewerage and Septage Management	Drainage	Urban Transport (NMT)	Others (Parks & Open Spaces)	Reforms	Total
1	2	3	4	5	6	7	8
1. Guwahati	0	0	0	82.74	4.39	0	87.13
2. Nagaon	213.20	0	0	0	4.00	0	217.20
3. Dibrugarh	183.46	0	0	0	4.00	0	187.46
4. Silchar	161.35	0	0	0	4.00	0	165.35
<b>Total</b>	<b>555.86</b>	<b>0</b>	<b>0</b>	<b>84.9</b>	<b>16.38</b>	<b>0</b>	<b>657.14</b>
<b>Total Project Investments</b>							<b>657.14</b>
A&OE (8% for State)							52.42
<b>Grand Total</b>							<b>709.56</b>



State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

**Table 3.4: SAAP - Year Wise Share of Investments for All Sectors (ULB Wise)**

Name of City	Total Project Investment	Committed Expenditure (if any) during year 2015-16							Committed Expenditure (if any) during Current Financial year 2016-17						Proposed Spending during Next Financial Years Till 2020							
		Centre	State			ULB			Centre	State			ULB			Centre	State			ULB		
			14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total
			FY 2017-20 (Amount in Rs. Crores)																			
Guwahati	87.13	1.53	0.17	0.17	0	0	0	1.69	0	0.18	0.18	0	0	0	75.20	0	8.36	8.36	0	0	0	
Nagaon	217.20	0.90	0.10	0.10	0	0	0	192.95	0	21.45	21.45	0	0	0	1.62	0	0.18	0.18	0	0	0	
Dibrugarh	187.46	166.01	18.45	18.45	0	0	0	1.08	0	0.12	0.12	0	0	0	1.62	0	0.18	0.18	0	0	0	
Silchar	165.35	0.9	0.10	0.1	0	0	0	1.08	0	0.12	0.12	0	0	0	146.84	0	16.31	16.31	0	0	0	
<b>Total</b>	<b>657.14</b>	169.34	18.82	18.82	0	0	0	<b>196.80</b>	0	<b>21.87</b>	<b>21.87</b>	0	0	0	<b>225.28</b>	0	<b>25.03</b>	<b>25.03</b>				

## Chapter 2: Review of SAAPs

This chapter reviews the performance of the implementation of the past SAAPs on the key themes in the AMRUT Guidelines.

### Project Progress

In this section the physical and financial progress is reviewed as per the following tables and bullet wise questions are responded as below: -.

Table for physical and financial progress Review									
FY 2017-20 (Amount in Rs. Crores)									
S. No.	Name of ULB	Approved SAAP 2015-16		DPR (Y/N)	SLTC (Y/N)	Work Order (Y/N)	Implementation Progress		Amount disbursed till date
		Project name	Amount				Physical (%)	Financial (%)	
1	2	3	4	7	8	9	10	11	12
1	Nagaon	Water Supply	0	N	N	N	0.00	0.00	0.00
2	Dibrugarh		183.46	N	N	N	0.00	0.00	0.00

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

3	Silchar		0	N	N	N	0.00	0.00	0.00
	<b>Total</b>		<b>183.46</b>						
4	Nagaon	Sewerage & Septage Management	0	N	N	N	0.00	0.00	0.00
5	Dibrugarh		0	N	N	N	0.00	0.00	0.00
6	Silchar		0	N	N	N	0.00	0.00	0.00
	<b>Total</b>		<b>0</b>						
7	<b>Guwahati</b>	Parks & Open Spaces	1.70	N	N	N	0.00	0.00	0.00
8	Nagaon		1.00	N	N	N	0.00	0.00	0.00
9	Dibrugarh		1.00	N	N	N	0.00	0.00	0.00
10	Silchar		1.00	N	N	N	0.00	0.00	0.00
	<b>Total</b>		<b>4.70</b>						

- **Have DPRs been prepared for all projects approved earlier? If not then which are the projects for which DPR is pending and why?**

No DPR has been prepared till date. It is proposed to be prepared by PDMC. For engagement of PDMC, RFP has been floated on 27<sup>th</sup> October, 2016. Pre-bid meeting was held on 15<sup>th</sup> November, 2016. It is expected that PDMC will be in place by 2nd half of January, 2017

- **What is the plan of action for the pending DPRs?**

PDMC is expected to be in place by 2nd half of January, 2017

. It is expected that all the *pending DPRs will be prepared by February, 2017.*

- **How many SLTC meetings had been held in the State? How many DPRs have been approved by the SLTC till date?**

No SLTC meetings has been held as yet. It is expected that all the *pending DPRs will be prepared by February, 2017 and those will be get approved by 1<sup>st</sup> half of March, 2017.*

- **By when will the pending DPRs be approved by the SLTC and when will implementation start?**

- It is expected that all the *pending DPRs will be prepared by February, 2017 and those will be get approved by 1<sup>st</sup> half of March, 2017* and the implementation will start from *middle of April, 2017.*

- **Based on the identification of delayed projects and the reasons for slow physical progress, what is the plan of action to speed-up the projects?**

Projects are yet to start.

- **How much amount has been utilized and what is the percentage share of the funding agencies? Are there any deviations from the approved funding pattern approved by the Apex Committee? (tabular form and 500 words)**

No money has been utilized as yet. No deviations from the approved funding pattern approved by the Apex Committee have yet been envisaged.

- **List out the projects where release of funds to ULBs by the State was delayed?**

State will release its matching share of 10% of total allocation, as per approved SAAP 2015-16 and SAAP 2016-17, to the concerned ULBs in conformity with the release of 20% CA by Govt of India after submission of DPRs by the ULBs.

- **In how many ULBs implementation was done by agencies other than ULBs? Was a resolution taken from all ULBs? (tabular and 200 words)**

All projects will be implemented by concerned ULB.

- **List out the projects where the assessed value approved by the Apex Committee was greater than the tendered value and there was a saving? Was this addressed by the HPSC in the present SAAP? (tabular and 200 words)**

Does not arise, as no DPR is prepared yet .

- **List out the number of city-wise projects where the second and third installments were claimed. (Tabular form).**

Does not arise, as stated above.

- **List out the city-wise completed projects. Was the targeted benchmark achieved? Explain the reasons for non-achievement (tabular form and 400 words)**

No project has yet been started.

- **List out the details of projects taken up in PPP model. Describe the type of PPP (tabular; 300 words)**

Does not arise, however, PPP model will be preferred at the time of preparation of DPR itself.

- **List out and describe any out-of-the-box initiatives/Smart Solutions/resilience used/incorporated in the projects under implementation. What is the nature of the innovation in the projects? (tabular; 300 words)**

Out-of-the-box initiatives/Smart Solutions will be promoted during project implementation; however projects are yet to be started.

## Service Levels

The focus of AMRUT is to achieve service level benchmarks, such as universal coverage in water supply, sewer connections and drainage etc.. In the approved SAAPs, the Govt of Assam has targeted the benchmark of universal coverage. The SAAP will review the progress towards targets set by the ULBs to move towards achievement of universal coverage.

Table for Progress Review					
FY 2017-20					
Name of City	Service Level Benchmark	SAAP Baseline (as in 2015-16)	SAAP Mission Target FY 2020	For the last Financial Year	
				Target upto beginning of current FY 2016-17	Achievement upto beginning of current FY 2016-17
<b>Sector: Water Supply</b>					
1. Guwahati	1. Household level coverage of direct water supply connections	NA	NA	NA	NA
	2. Per capita quantum of water supplied	NA	NA	NA	NA
	3. Quality of water supplied	NA	NA	NA	NA
2. Nagaon	1. Household level coverage of direct water supply connections	65%	100%	65%	65%

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

	2. Per capita quantum of water supplied	85 lpcd	135lpcd	85 lpcd	85 lpcd
	3. Quality of water supplied	100%	100%	100%	100%
3. Dibrugarh	1. Household level coverage of direct water supply connections	65%	100%	65%	65%
	2. Per capita quantum of water supplied	85 lpcd	135lpcd	85 lpcd	85 lpcd
	3. Quality of water supplied	100%	100%	100%	100%
4. Silchar	1. Household level coverage of direct water supply connections	70%	100%	70%	70%
	2. Per capita quantum of water supplied	95 lpcd	135lpcd	95 lpcd	95 lpcd
	3. Quality of water supplied	100%	100%	100%	100%
<b>Sector: Sewerage &amp; Septage Management</b>					
5. Guwahati	1. Coverage of latrines (individual or community)	-	-	-	-



State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

	2. Coverage of sewerage network services	-	-	-	-
	3. Efficiency of Collection of Sewerage	-	-	-	-
	4. Efficiency in treatment	-	-	-	-
6. Nagaon	1. Coverage of latrines (individual or community)	-	-	-	-
	2. Coverage of sewerage network services	-	-	-	-
	3. Efficiency of Collection of Sewerage	-	-	-	-
	4. Efficiency in treatment	-	-	-	-
7. Dibrugarh	1. Coverage of latrines (individual or community)	-	-	-	-
	2. Coverage of sewerage network services	-	-	-	-
	3. Efficiency of Collection of Sewerage	-	-	-	-
	4. Efficiency in treatment	-	-	-	-
8. Silchar	1. Coverage of latrines (individual or community)	-	-	-	-
	2. Coverage of sewerage network services	-	-	-	-

	3. Efficiency of Collection of Sewerage	-	-	-	-
	4. Efficiency in treatment	-	-	-	-
<b>Sector: Parks &amp; Open Spaces</b>					
9. Guwahati	1. Per Person Open Space in Plain Areas as per URDPFI	-	10.0 -12.0 Sqm	-	-
10. Nagaon	1. Per Person Open Space in Plain Areas as per URDPFI	1.15 Sqm	10.0 -12.0 Sqm	1.15 Sqm	1.15 Sqm
11. Dibrugarh	1. Per Person Open Space in Plain Areas as per URDPFI	1.20 Sqm	10.0 -12.0 Sqm	1.20 Sqm	1.20 Sqm
12. Silchar	1. Per Person Open Space in Plain Areas as per URDPFI	4.0 Sqm	10.0 -12.0 Sqm	4.0 Sqm	4.0 Sqm
<b>Sector: Drainage</b>					
13. Guwahati	1. Coverage of storm water drainage network	NA	NA	NA	NA
14. Nagaon	1. Coverage of storm water drainage network	-	-	-	-

15. Dibrugarh	1. Coverage of storm water drainage network	-	-	-	-
16. Silchar	1. Coverage of storm water drainage network	-	-	-	-
<b>Sector: Urban Transport (Non-motorised transport)</b>					
17. Guwahati	1. Service coverage of urban transport in the city-Cycle	0	--	--	25%
	2.Availability of urban transport per 1000 population	0	--	--	25%
18 Nagaon	1. Service coverage of urban transport in the city	-	-	-	-
	2.Availability of urban transport per 1000 Population	-	-	-	-
19. Dibrugarh	1. Service coverage of urban transport in the city	-	-	-	-
	2.Availability of urban transport per 1000 Population	-	-	-	-
20. Silchar	1. Service coverage of urban transport in the city	-	-	-	-
	2.Availability of urban transport per 1000 Population	-	-	-	-

- **In how many projects, city-wise, have targets not been achieved? What is the Plan for Action to achieve the targets?**

No DPR has been prepared till date. It is proposed to be prepared by PDMC. For engagement of PDMC, RFP has been floated on 27<sup>th</sup> October, 2016. Pre-bid meeting was held on 15<sup>th</sup> November, 2016. It is expected that PDMC will be in place by 2nd half of January, 2017

**What are the status of the ongoing DPR preparation and the plan of action for the pending DPRs?**

As stated above.

- **How many SLTC meetings had been held in the State? How many DPRs have been approved by the SLTC till date?**

No SLTC meetings have been held till date. No DPRs have been prepared as yet for presenting before SLTC.

## Capacity Building

There are two types of capacity building – Individual and institutional. The Apex Committee had approved the annual capacity building plan and the SAAP of the current year has to review the progress of the capacity plan.

**Table for progress review of the Capacity Plan**

FY 2017-20						
Sl No	Name of ULB	Name of Department	Total number to be trained in Mission period	Target to be trained during the previous Financial Year	Number fully trained during the previous Financial Year	Name training institute
1	Guwahati	Guwahati Municipal Corporation-GMC	35	-	-	--
2	Nagaon	Nagaon Municipality Board	22	-	-	-
3	Dibrugarh	Dibrugarh Municipal Board	15	-	-	-
4	Silchar	Silchar Municipality Board	30	-	-	-
	<b>Total</b>		102	-	-	

- In how many departments was training completed as approved in the SAAP of the last Financial Year? In how many departments was training partially done and in how many departments training not done at all? Please give reasons

Trainings have not started.

- **List out the training institutes that could not complete training of targeted functionaries. What were the reasons and how will this be avoided in future?**

The training is yet to be started.

- **What is the status of utilization of funds?**

Funds have not yet been utilized under capacity building component.

- **Have the participants visited best practice sites? Give details**

No

- **Have the participants attended any national/international workshops, as per guideline (Annexure 7)?**

No

- **What is the plan of action for the pending activities, if any?**

MoU has been signed with RCUES, Lucknow. Training is expected to be started by 1<sup>st</sup> week of February, 2017.

## Reforms

According to Guideline 4.3, incentives of previous year will be given at the start of succeeding year, for which States are required to do a self-assessment, on receipt of which incentives will be awarded. A key requirement to claim incentives is to achieve at least 70 per cent Reforms for that year. Some of the criteria to be considered while doing the assessment are as follows:

Sr. NO	Self-Assessment of ULBs against the reforms for FY 2015-16					
	Type	Steps	Guwahati	Nagaon	Dibrugarh	Silchar
1	<b>e-Governance</b>	<b>Digital ULBs</b>				
		1. Creation of ULB website	10	0	10	0
		2. Publication of e-newsletter, Digital India Initiatives.	10	0	0	0
		3. Support Digital India (ducting to be done on PPP mode or by the ULB itself)	10	10	10	10
2	<b>Constitution and professionalization of municipal cadre</b>	1. Policy for engagement of interns in ULBs and implementation.	0	10	10	10
3	<b>Augmenting double entry accounting</b>	1. Complete migration to double entry accounting and obtaining an audit certificate to the effect from FY 2012-13 onwards	0	10	10	0

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

		2. Publication of annual financial statement on website	0	0	0	0
4	<b>Urban Planning and City Development Plans</b>	1. Preparation of Service Level Improvement Plans ( SLIP), State Annual Action Plans ( SAAP).	10	10	10	10
		2. Make action plan to progressively increase Green cover in cities to 15% in 5 years.	10	10	10	10
		3. Develop at least one children park every year in the AMRUT cities.	10	10	10	10
		4. Establish a system for maintaining of parks, playground and recreational areas relying on People Public Private Partnership (PPPP) model.	10	10	10	10
5	<b>Devolution of funds and functions</b>	1. Ensure transfer of 14th FC devolution to ULBs.	10	10	10	10
		2. Appointment of State Finance Commission (SFC) and making decisions.	10	10	10	10
		3. Transfer of all 18 function to ULBs	5	5	5	5
6	<b>Review of Building by-laws</b>	1. Revision of building bye laws periodically	10	10	10	10
		2. Create single window clearance for all approvals to give building permissions	10	0	0	0
7	<b>Municipal tax and</b>	1. Atleast 90% coverage	10	10	10	10



		2. Atleast 90% collection	0	10	10	10
		3. Make a policy to periodically revise property tax, levy charges and other fees	10	10	10	10
		4. Post Demand Collection Book (DCB) of tax details on the website	0	0	10	0
		5. Achieve full potential of advertisement revenue by making a policy for destination specific potential having dynamic pricing module.	10	10	10	10
7 (b)	<b>Improvement in levy and collection of user charges</b>	1. Adopt a policy on user charges for individual and institutional assessments in which a differential rate is charged for water use and adequate safeguards are included to take care of the interests of the vulnerable.	10	10	10	10
		2. Make action plan to reduce water losses to less than 20% and publish on the website	0	0	10	0
		3. Separate accounts for user charges	10	10	10	10
		4. At least 90% billing	0	10	10	10
		5. At least 90% collection.	0	10	10	10
8	<b>Energy and water audit</b>	1. Energy ( street lights) and Water Audit ( including non-revenue water or losses audit)	0	0	10	0

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

		2. Making STPs and WTPs energy efficient	10	0	0	0
		3. Optimize energy consumption in street lights by using energy efficient lights and increasing reliance on renewal energy.	10	10	10	10
<b>Total</b>			<b>185</b>	<b>195</b>	<b>225</b>	<b>185</b>

**Table for progress review of the Reforms FY 2015-16**

S.No	Reform Type	Milestones	Target for the last FY 2015-16	Achievement for the last FY 2015-16	Number of ULBs achieved 70 percent	Number of ULBs not achieved 70 percent
1	e-Governance	<b>Digital ULBs</b>				
		1. Creation of ULB website	6 months	20	2	2

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

		2. Publication of e-newsletter, Digital India Initiatives.	6 months	10	1	3
		3. Support Digital India (ducting to be done on PPP mode or by the ULB itself)	6 months	40	4	0
2	<b>Constitution and professionalization of municipal cadre</b>	1. Policy for engagement of interns in ULBs and implementation.	12 months	30	3	1
3	<b>Augmenting double entry accounting</b>	1. Complete migration to double entry accounting and obtaining an audit certificate to the effect from FY 2012-13 onwards	12 months	20	2	2
		2. Publication of annual financial statement on website	Every year	0	0	4
4	<b>Urban Planning and City Development Plans</b>	1. Preparation of Service Level Improvement Plans ( SLIP), State Annual Action Plans ( SAAP).	6 months	40	4	0

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

		2. Make action plan to progressively increase Green cover in cities to 15% in 5 years.	6 months	40	4	0
		3. Develop at least one children park every year in the AMRUT cities.	Every year	40	4	0
		4. Establish a system for maintaining of parks, playground and recreational areas relying on People Public Private Partnership (PPPP) model.	12 months	40	4	0
5	<b>Devolution of funds and functions</b>	1. Ensure transfer of 14th FC devolution to ULBs.	6 months	40	4	0
		2. Appointment of State Finance Commission (SFC) and making decisions.	12 months	40	4	0
		3. Transfer of all 18 function to ULBs	12 months	20	2	2
6	<b>Review of Building by-laws</b>	1. Revision of building bye laws periodically	12 months	40	4	0
		2. Create single window clearance for all approvals to give building permissions	12 months	10	1	3
7	<b>Municipal tax and</b>	1. Atleast 90% coverage	12 months	40	4	0

		2. Atleast 90% collection	12 months	30	3	1
		3. Make a policy to periodically revise property tax, levy charges and other fees	12 months	40	4	0
		4. Post Demand Collection Book (DCB) of tax details on the website	12 months	10	1	3
		5. Achieve full potential of advertisement revenue by making a policy for destination specific potential having dynamic pricing module.		40	4	0
7 (b)	<b>Improvement in levy and collection of user charges</b>	1. Adopt a policy on user charges for individual and institutional assessments in which a differential rate is charged for water use and adequate safeguards are included to take care of the interests of the vulnerable.	12 months	40	4	0
		2. Make action plan to reduce water losses to less than 20% and publish on the website	12 months	10	1	3
		3. Separate accounts for user charges	12 months	40	4	0
		4. At least 90% billing	12 months	30	3	1
		5. At least 90% collection.	12 months	30	3	1
8	<b>Energy and water audit</b>	1. Energy ( street lights) and Water Audit ( including non-revenue water or losses audit)	12 months	0	0	4

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

	2. Making STPs and WTPs energy efficient	12 months	10	1	3
	3. Optimize energy consumption in street lights by using energy efficient lights and increasing reliance on renewal energy.	12 months	40	4	0
	<b>Total</b>		<b>790</b>		

**Table 5.2: SAAP-Reforms Type, Steps and Target for AMRUT Cities**

FY 2016-17

Sl. No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP			
				Apr to Sep' 15	Oct'15 to Mar' 16	Apr' 16 to Sep' 16	Oct' 16 to Mar' 17
1	E-Governance	1. Coverage with E-MAAS (from the date of hosting the software) <ul style="list-style-type: none"> <li>• Registration of Birth, Death and Marriage,</li> <li>• Water &amp; Sewerage Charges,</li> <li>• Grievance Redressal,</li> <li>• Property Tax,</li> <li>• Advertisement tax,</li> <li>• Issuance of Licenses,</li> <li>• Building Permissions,</li> <li>• Mutations,</li> <li>• Payroll,</li> <li>• Pension and e- procurement.</li> </ul>	24 months				Mar' 17  Mar' 17 Mar' 17 Mar' 17  Mar' 17 Mar' 17 Mar' 17
2	Constitution and	1. Establishment of municipal	24 months				March,18

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

	professionalization of municipal cadre	cadre. 2. Cadre linked training.					
3	Augmenting double entry accounting	1. Appointment of internal auditor.	24 months				Mar' 17
4	Urban Planning and City Development Plans	1. Make a State Level policy for implementing the parameters given in the National Mission for Sustainable Habitat.	24 months				Mar' 17
5	Devolution of funds and functions	1. Implementation of SFC recommendations within timeline.	24 months	Achieved			
6	Review of Building by-laws	1. State to formulate a policy and action plan for having a solar roof top in all buildings having an area greater than 500 square meters and all public buildings. 2. State to formulate a policy and action plan for having Rainwater harvesting structures in all commercial, public buildings and new buildings on plots of 300 sq. meters and above.	24 months  24 months				Mar' 17
7	Set-up financial intermediary at state level	1. Establish and operationalize financial intermediary- pool finance, access external funds, float municipal bonds.	24 months				Mar' 17
8	Credit Rating	1. Complete the credit ratings of	24 months				Mar' 17



		the ULBs.					
9	Energy and Water audit	1. Give incentives for green buildings (e.g. rebate in property tax or charges connected to building permission/development charges).	24 months				Mar' 17

**Table 5.3: SAAP-Reforms Type, Steps and Target for AMRUT Cities**

FY 2017-18

Sl. No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP						
				Apr to Sep' 15	Oct'15 to Mar' 16	Apr' 16 to Sep' 16	Oct' 16 to Mar' 17	Apr' 16 to Sep' 17	Oct' 16 to Mar' 18	
1	E-Governance	1. Personnel staff management 2. Project management	36 months							Mar, 18
2	Urban Planning and	1. Establish Urban Development	36 months	Achieved						

City development plans	Authorities								
Swachh Bharat Mission	<ol style="list-style-type: none"> <li>1. Elimination of open defecation</li> <li>2. Waste collection 100%</li> <li>3. Transportation of waste (100%)</li> <li>4. Scientific disposal (100%)</li> <li>5. The state will prepare a policy for right-sizing the number of municipal functionaries depending on, say population of the ULB, generation of internal resources and expenditure on salaries.</li> </ol>	36 months							Mar, 18

**Table 5.3: SAAP-Reforms Type, Steps and Target for AMRUT Cities**

FY 2018-19

Sl. No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP								
				Apr to Sep' 15	Oct'15 to Mar' 16	Apr' 16 to Sep' 16	Oct' 16 to Mar' 17	Apr' 16 to Sep' 17	Oct' 16 to Mar' 18	Apr' 16 to Sep' 18	Oct' 16 to Mar' 19	
1	Urban Planning and City development plans	Preparation of Master Plan using GIS	48 months									Mar, 19

## Use of A&OE

- **What are the items for which the A&OE has been used?**

The A&OE could not be used till date.

- **Are the items similar to the approved items in SAAP or there is any deviation? If yes, list the items with reasons**

Does not arise, since no expenditure has been made as yet. The deviation, if any will be known after appointment of PDMC, based on the successful quotes against RFP issued for PDMC.

- **Has the IRMA been appointed? What was the procedure followed?(250 words)**

No, the IRMA has not been appointed.

- **If not appointed, give reason for delay and the likely date of appointment (100 words)**

IRMA will be put in place after the appointment of PDMC.

- **Have you taken up activities connected to E-Municipality as a Service (E-MAAS)? Please give details. (250 words)**

Yes, under several component of E-MASS such as Building permissions, property tax, etc. are being implemented by ULBs in the State.

- **Have you displayed the logo and tagline of AMRUT prominently on all projects? Please give list. (tabular; 100 words)**

Yes, Logo of AMRUT will be prominently used for projects being funded under AMRUT. The same is also being used in DPRs.

- Have you utilized the funds on any of the inadmissible components (para 4.4)? If yes, give list and reasons. (tabular; 350 words)

No

### Funds flow

Govt. of Assam recognizes that one reason for project delay has been delayed release of funds. In the following table the status of funds release and resource mobilization are indicated.

Table indicating the status of funds release and resource mobilization											
FY 2017-20 (Amount in Rs. Crores)											
S.No	City name	Project name	Funds flow							Total funds flow to project	Total spent on project
			Gol (As per revised SAAP 2015-16)		State		ULB/ Others				
			Approved amount	Disbursed	Approved amount	Disbursed	Approved amount	Disbursed			
1	Guwahati	Water Supply	0	0	0	0	0	0	0	0	
2	Nagaon		0	0	0	0	0	0	0	0	
3	Dibrugarh		165.11	33.02	0	0	0	0	0	0	
4	Silchar		0	0	0	0	0	0	0	0	

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

5	Guwahati	Sewerage & Septage Management	0	0	0	0	0	0	0	0
6	Nagaon		0	0	0	0	0	0	0	0
7	Dibrugarh		0	0	0	0	0	0	0	0
8	Silchar		0	0	0	0	0	0	0	0
9	Guwahati	Parks & Open Spaces	1.53	0.30	0	0	0	0	0	0
10	Nagaon		0.90	0.18	0	0	0	0	0	0
11	Dibrugarh		0.90	0.18	0	0	0	0	0	0
12	Silchar		0.90	0.18	0	0	0	0	0	0
	<b>Total</b>		<b>169.34</b>	<b>33.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Table indicating the status of funds release and resource mobilization**

FY 2017-20  
(Amount in Rs. Crores)

S.No	City name	Project name	Funds flow						Total funds flow to project	Total spent on project
			Gol (As per revised SAAP 2016-17)		State		ULB/ Others			
			Approved amount	Disbursed	Approved amount	Disbursed	Approved amount	Disbursed		
1	Guwahati	Water Supply	0	0	0	0	0	0	0	0
2	Nagaon		191.87	38.36	0	0	0	0	0	0
3	Dibrugarh		0	0	0	0	0	0	0	0

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

4	Silchar		0	0	0	0	0	0	0	0
5	Guwahati	Sewerage & Septage Management	0	0	0	0	0	0	0	0
6	Nagaon		0	0	0	0	0	0	0	0
7	Dibrugarh		0	0	0	0	0	0	0	0
8	Silchar		0	0	0	0	0	0	0	0
9	Guwahati	Parks & Open Spaces	1.69	0.34	0	0	0	0	0	0
10	Nagaon		1.08	0.22	0	0	0	0	0	0
11	Dibrugarh		1.08	0.22	0	0	0	0	0	0
12	Silchar		1.08	0.22	0	0	0	0	0	0
	<b>Total</b>		<b>218.67</b>	<b>39.36</b>	<b>0</b>	<b>0</b>	0	0	0	0

- **In how many projects, city-wise, has the full funds been sanctioned and disbursed?**

No fund has yet been sanctioned or disbursed against projects since no DPRs could be prepared.

- **Identify projects where delay in funds release led to delay in project implementation?**

The stage is yet to come for such assessment.

- **Give instances of doing more with less during implementation.**

Since implementation is yet to begin, such assessment could not be done.

#### **Funds disbursements and Conditions**

- **How many project fund request has been made to the Gol?**

No request for fund against the projects sanctioned in SAAP 2015-16 & SAAP 2016-17 has been made.

- **How many installments the Gol has released?**

Two installments have been released so far

SAAP 2015-16 first installment of Rs 33.87 crores i.e 20% of Central Share has yet been released by Gol.

SAAP 2016-17 first installment of Rs 39.36 crores i.e 20% of Central Share has yet been released by Gol.



- **Is there any observation from the Gol regarding the claims made?**

Does not arise as no claim has been made yet.

- **List out the conditions imposed by the Apex Committee, State HPSC and the SLTC. Have all the conditions been complied with? If, no identify the conditions not complied with and give reasons for non-compliance.**

In the 13<sup>th</sup> Apex Committee Meeting Joint Secretary, Ministry of Urban Development, GOI suggested that all SAAPs should be revised as per allocation made.

All the conditions have already been complied with and SAAP 2015-16 & SAAP 2016-17 have been revised and submitted.

SAAP 2015-16 and SAAP 2016-17 have been revised as per allocation of ACA communicated on 6<sup>th</sup> June, 2016 vide D.O. No. K-14012/95/2015-AMRUT-I.

### Chapter 3: STATE ANNUAL ACTION PLAN (SAAP)

The SAAPs are aggregated from the SLIPs. The Master Plan of projects and the state level plan are filled out as below for achieving service levels in various sectors.

**Table 3.1: SAAP – Master Plan of all projects details to achieve universal coverage during the current Mission period based on Table 2.1 (FYs 2015-16 and 2019-20)**

Current Mission period 2015-20  
(Amount in Rs. Crores)

Sr.No.	Name of ULB (water supply and sewerage)	Total number of projects to achieve universal coverage	Estimated Cost (Rs. Crore)	Number of years to achieve universal coverage
1	2	3	4	5
<b>WATER SUPPLY</b>				
1	Guwahati	NA	0	NA
2	Nagaon	1	213.20	4

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

3	Dibrugarh	1	183.46	4
4	Silchar	1	161.35	3
	<b>Total</b>	<b>3</b>	<b>558.01</b>	
<b>SEWERAGE &amp; SEPTAGE MANAGEMENT</b>				
1	Guwahati	-	-	NA
2	Nagaon	-	-	-
3	Dibrugarh	-	-	-
4	Silchar	-	-	-
	<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>DEVELOPMENT OF PARKS &amp; OPEN SPACES</b>				
1	Guwahati	3	4.38	4
2	Nagaon	3	4.00	4
3	Dibrugarh	3	4.00	4
4	Silchar	3	4.00	4
	<b>Total</b>	<b>12</b>	<b>16.38</b>	
<b>DRAINAGE</b>				
1	Guwahati	-	-	NA

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

2	Nagaon	-	-	-
3	Dibrugarh	-	-	-
4	Silchar	-	-	-
	<b>Total</b>	-	-	-
<b>URBAN TRANSPORTATION</b>				
1	Guwahati	1	82.74	4
2	Silchar	-	-	-
3	Dibrugarh	-	-	-
4	Nagaon	-	-	-
	<b>Total</b>	1	82.74	-
	<b>Grand Total</b>	16	657.14	-

**Table 3.5: SAAP- State level Plan for Achieving Service Level Benchmarks  
Current Mission period 2015-20**

**FY 2017-20  
(Amount in Rs. Crores)**

Proposed Priority Projects	Total Project Cost	Indicator <sup>3</sup>	Baseline <sup>4</sup>	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY 2016		FY 2017	FY 2018	FY 2019	FY 2020
				H1	H2				
<b>Water Supply</b>									
1. Guwahati	0	1. Household level coverage of direct water supply connections	To be provided by other source of funding which is under execution.						

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

		2. Per capita quantum of water supplied	100 lpcd	100lpcd	100 lpcd	110 lpcd	120 lpcd	135 lpcd	135lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
2. Nagaon	213.20	1. Household level coverage of direct water supply connections	65%	65%	65%	70%	80%	100%	100%
		2. Per capita quantum of water supplied	85 lpcd	85 lpcd	85 lpcd	90 lpcd	110 lpcd	135 lpcd	135 lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
3. Dibrugarh	183.46	1. Household level coverage of direct water supply connections	65%	65%	65%	75%	85%	100%	100%

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

		2. Per capita quantum of water supplied	85 lpcd	85 lpcd	85 lpcd	100 lpcd	115 lpcd	135 lpcd	135lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
4. Silchar	161.35	1. Household level coverage of direct water supply connections	70%	70%	70%	70%	80%	90%	100%
		2. Per capita quantum of water supplied	95 lpcd	95 lpcd	95 lpcd	95lpcd	100 lpcd	125 lpcd	135 lpcd
		3. Quality of water supplied	100%	100%	100%	100%	100%	100%	100%
Total	<b>558.01</b>								
<b>Sewerage &amp; Septage Management</b>									
5. Guwahati	<b>0</b>	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-

		2. Coverage of sewerage network services	0	0	0	0	0	0	0
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in treatment							
6. Nagaon	-	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-
		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in treatment	-	-	-	-	-	-	-
7. Dibrugarh	-	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-



		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in treatment	-	-	-	-	-	-	-
8. Silchar	-	1. Coverage of latrines (individual or community)	-	-	-	-	-	-	-
		2. Coverage of sewerage network services	-	-	-	-	-	-	-
		3. Efficiency of Collection of Sewerage	-	-	-	-	-	-	-
		4. Efficiency in	-	-	-	-	-	-	-
Total	0.0								
<b>Others – Parks and Open Spaces</b>									

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

9. Guwahati		1. Per Person Open Space in Plain Areas as per URDPFI	-	-	-	7.0 Sqm	8.0 Sqm	9.0 Sqm	10.0 -12.0 Sqm
10. Nagaon		1. Per Person Open Space in Plain Areas as per	1.15 Sqm	1.15 Sqm	1.15 Sqm	2.5 Sqm	5.0 Sqm	7.50 Sqm	10.0 -12.0 Sqm
11. Dibrugarh		1. Per Person Open Space in Plain Areas as per	1.20 Sqm	1.20 Sqm	1.20 Sqm	2.5 Sqm	5.0 Sqm	7.50 Sqm	10.0 -12.0 Sqm
12. Silchar		1. Per Person Open Space in Plain Areas as per	4.0 Sqm	4.0 Sqm	4.0 Sqm	5.0 Sqm	7.0 Sqm	9.0 Sqm	10.0 -12.0 Sqm
<b>Total</b>	<b>16.38</b>								
<b>Drainage</b>									
13. Guwahati	-	1. Coverage of storm water drainage network	-	-	-	-	-	-	-
14. Nagaon	-	1. Coverage of storm water drainage network	-	-	-	-	-	-	-
15. Dibrugarh	-	1. Coverage of storm water drainage network	-	-	-	-	-	-	-

16 Silchar	-	1. Coverage of storm water drainage network	-	-	-	-	-	-	-
<b>Total</b>	-								
<b>Urban Transport</b>									
17. Guwahati	82.74	1. Service coverage of urban transport in the city-Cycle Track	--	--	--	--	--	--	--
		2. Availability of urban transport per 1000 population	--	--	--	--	--	--	--
18. Nagaon	-	1. Service coverage of urban transport in the city-Cycle Track	--	--	--	--	--	--	--
		2. Availability of urban transport per 1000 population	--	--	--	--	--	--	--

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

19. Dibrugarh	-	1. Service coverage of urban transport in the city-Cycle Track	--	--	--	--	--	--	--
		2. Availability of urban transport per 1000 Population	--	--	--	--	--	--	--
20. Silchar	-	1. Service coverage of urban transport in the city-Cycle Track	--	--	--	--	--	--	--
		2. Availability of urban transport per 1000 population	--	--	--	--	--	--	--
<b>Total</b>	<b>82.74</b>								

**Table showing projects being proposed for approval to the Apex Committee**

FY 2017-20  
(Amount in Rs. Crores)

S.No	City name	Project name	Estimated cost and share				Change in service levels		
			GoI	State	ULB/ Others	Total	Indicator	Existing	After project completion
1	Nagaon	Water Supply	0	0	0	0	1. Household level coverage of direct water supply connections	65%	100%
							2. Per capita quantum of water supplied	85 lpcd	135lpcd
							3. Quality of water supplied	100%	100%
2	Dibrugarh		0	0	0	0	1. Household level coverage of direct water supply	65%	100%

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

							connections		
							2. Per capita quantum of water supplied	85 lpcd	135lpcd
							3. Quality of water supplied	100%	100%
3	Silchar		145.22	16.13	0	159.20	1. Household level coverage of direct water supply connections	70%	100%
							2. Per capita quantum of water supplied	95 lpcd	135 lpcd
							3. Quality of water supplied	100%	100%
	<b>Total</b>		<b>145.22</b>	<b>16.13</b>	<b>0</b>	<b>161.35</b>			
4	Guwahati	Urban transport(non motorised transport)	74.47	8.27	0	82.74	1. Service coverage of urban transport in the city-Cycle Track	0	25%
							2.Availability of urban transport per 1000 population	-	-
5	Nagaon		-	-	-	-	1. Service	-	-

							coverage of urban transport in the city-Cycle Track		
6	Dibrugarh		-	--	-	-	1. Service coverage of urban transport in the city-Cycle Track	-	-
							2.Availability of urban transport per 1000 population	-	-
7	Silchar		-	-	-	-	1. Service coverage of urban transport in the city-Cycle Track	-	-
							2.Availability of urban transport per 1000 population	-	-
	<b>Total</b>		<b>74.47</b>	<b>8.27</b>	<b>0</b>	<b>82.74</b>			
9	Guwahati	Parks & Open Spaces	0.73	0.08	-	0.81	1. Per Person Open Space in Plain Areas as per URDPFI	-	-

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

10	Nagaon		1.62	0.18	-	1.80	1. Per Person Open Space in Plain Areas as per URDPFI	-	-
11	Dibrugarh		1.62	0.18	-	1.80	1. Per Person Open Space in Plain Areas as per URDPFI	-	-
12	Silchar		1.62	0.18	-	1.80	1. Per Person Open Space in Plain Areas as per URDPFI	-	-
	<b>Total</b>		<b>5.59</b>	<b>0.62</b>	<b>-</b>	<b>6.21</b>			
	<b>Grand Total</b>		<b>225.28</b>	<b>25.03</b>	<b>0</b>	<b>250.31</b>			



## 1. Principles of Prioritization

The states are required to prioritize and recommend projects for selection under AMRUT as per Guidelines in para 7.

While prioritizing projects in the course of preparation of the SLIPs, the ULBs accorded the first priority to water supply. In cases where ULBs already had committed funds for water supply from other sources or on-going projects – Guwahati – any additional funding required for project completion has been attributed to AMRUT.

The potential Smart City – Guwahati, included in the 1<sup>st</sup> 20 Smart Cities' list, has been given priority in project selection.

Extensive discussions have been held at ULB and State level as well as with parastatals – the Guwahati Metro Development Authority (GMDA) is asked to finalize the priorities. The responses to the specific queries as per the Guidelines are given below:

- **Has consultation with local MPs/MLAs, Mayors and Commissioners of the concerned ULBs been carried out prior to allocation of funding? Please give details.**

Informal discussions have been held with all stakeholders. The Commissioners of the concerned ULBs have led on the preparation of the SLIPs and have sought advice from the Urban Development Department at the State Level and parastatals as required. The Commissioners have ensured that progress in the preparation of the SLIPs and the prioritization and funding commitments have been duly discussed at the ULB Board Meetings to ensure approval and commitment of the Elected Representatives.

- **Have financially weaker ULBs been given priority for financing? If yes, how?**

This has not been consciously followed. It is planned for improvement of financial position of the ULBs with better target setting and monitoring of own revenue collections. With 90% of project fund financed by Gol as Central Assistance, the State Government will share total balance of 10% of the project cost, to be financed. The balance, if any, will be decided later as required, by exploring other financing options.

▪ **Is the ULB with a high proportion of urban poor receiving higher share? If yes, how?**

Yes. The notified slums in ULBs will be given first preference in terms of improving basic services thereof.

▪ **Has the potential Smart Cities been given preference? Please give details.**

Guwahati, an AMRUT city has already been selected as Smart City in the 1<sup>st</sup> list of twenty cities in India. Guwahati already has ongoing projects of Water supply and Sewerage funded by ADB and JICA. Sewerage and Septage project in Guwahati has been proposed in SAAP 2016-17, such that uncovered area project may be implemented by complimenting the ongoing projects during the AMRUT Mission period itself.

▪ **What is the quantum of Central Assistance (CA) allocated to the State during 2017-20?**

As per communication received from Ministry of Urban Development, Govt. of India, the State of Assam has been allocated Rs 225.28 crores as CA for the financial year 2017-20 for which SAAP is to be submitted.

▪ **Has the allocation to different ULBs within the State been made consistent with the urban profile of the state? How?**

Allocations to different ULBs have been made in line with the urban profile of the State. The baseline data of basic services received while preparing the Service Level Improvement Plan (SLIP) for the ULBs and the allocations are in conformity with the targets set on the basis of the gaps in services.

## 2. Importance of O&M

The DPRs that have been prepared prior to the AMRUT Mission for these cities include provisions for 5 years of O&M. This will ensure sustainability of the infrastructure created and result in significant savings for the state.

The following are the responses to the various issues involved in addressing effective O&M.

- **Have projects being proposed in the SAAP included O&M for at least 5 years?**

5 years O&M has been proposed for all the projects being proposed in the SAAP. The O&M arrangements are an integral part of the DPRs and will be included in the contracts. This arrangement will ensure sustainability and quality of the infrastructure created.

- **How are O&M expenditures proposed to be funded by ULBs/ parastatals?**

O&M expenditures are proposed to be funded through User Charges, income accruing from alternate use of byproducts of waste treatment and ULB's own revenues. ULBs are expected to enhance metering, coverage, billing and connections. Where required, rationalization of user charges may be considered.

▪ **Is it by way of levy of user charges or other revenue streams? Please give details.**

O&M costs are largely to be met through extending coverage and improving billing and collection. Where appropriate, ULBs will earn additional revenues from the sale of byproducts of waste and include these funds for O&M. Additionally, municipal financial reforms are underway in 4 project towns and significant growth is expected in self-generated income from all sources including property tax. Smart solutions that contribute to reduction in manpower and energy savings will be implemented as appropriate.

▪ **Has O&M cost been excluded from project cost for the purpose of funding?**

O&M costs have not been included in the project cost for funding purposes and have been shown separately. These are to be funded by the ULBs as described in the previous response.

▪ **What kind of model has been proposed by states/ULBs to fund O&M? Please discuss.**

It is proposed that ULBs will maintain separate accounts for O&M for effective planning for maintenance of infrastructure and increasing accountability. All infrastructure contracts will include a 5-year O&M provision that will be met by the ULBs through user charges, own revenues and innovative use of byproducts of waste. In the case of parks and open spaces, the options of involving institutions, neighborhood committees and corporate out of CSR funds will be explored.

▪ **Is it through an appropriate cost recovery mechanism in order to make them self-reliant and cost-effective? How?**

An appropriate cost recovery mechanism and adopting a cost centre approach has been envisaged for effective control over revenues and expenditure in each sector. Appropriate strategies will be developed and implemented to meet O&M costs through user charges, effective billing and collection, tariff rationalization, increasing own revenues, etc.

**3. Reform Implementation**

In order to become eligible to claim the 10% incentive, the State is required to implement the Reforms prescribed by Govt. of India. The Govt. of Assam has made a self-assessment in the prescribed Table No 5.2, as below, for the Apex committee to consider eligibility of the state of Assam. The responses to the raised points are also given as below:-

Some of the criteria that should be considered while preparing the SAAP:

- **Fill out the tables prescribed by the TCPO. What are the Reform type, steps and Target for 2016-17?**

**Table 5.2**, as prescribed, showing Reform type, steps and Target for 2016-17 has been duly filled up as above.

- **Fill out Table 5.5 (pg. 54) given in the AMRUT Guidelines. What is the outcome of the self-evaluation done for reporting progress on reform implementation in order to receive the 10% incentive?**

Table 5.5 as prescribed in AMRUT Guidelines showing self-evaluation for reporting progress on reform implementation has been given below. The State has been able to complete 70.53 percent of Reforms. Out of maximum possible score of 1120, State has scored 790.

- **Have any issues been identified during the review by HPSC on Reforms implementation? What are the issues?**

No specific issue was identified during review by HPSC.

- **Have these issues been considered while planning for reform implementation? How?**

Does not arise

The reforms achievement will be measured every year after the end of financial year by allocating 10 marks for each reforms milestone achieved as against the targets set by the MoUD:

#### 4. Annual Capacity Building Plan

The Capacity Development Plan is submitted herewith for approval by the MoUD, to empower municipal functionaries and lead to timely completion of projects.

**Table 7.2: Annual Action Plan for Capacity Building**  
Form 7.2.1 -Fund Requirement for Individual Capacity Building at ULB level

FY 2017-20  
(Amount in Rs. Crores)

Sl. No.	Name of ULB	Total numbers to be trained in the current financial year, department wise						Name of the Training Institution (s) identified	No. of Training Programmes to be conducted	Fund Reqd. in current SAAP 2017-20 (in Crore)
		Elected Reps.	Finance Dept.	Engineering Dept.	Town Planning Dept.	Admin. Dept.	Total			
1	Guwahati	10	5	8	7	5	35	RCUES/ ATI, WB	3	1.02
2	Nagaon	5	2	3	2	10	22	- Do -	3	0.64
3	Dibrugarh	5	2	2	1	5	15	- Do -	3	0.44
4	Silchar	10	3	2	1	14	30	- Do -	3	0.87

**Table 7.2: Annual Action Plan for Capacity Building**

Form 7.2.2 -Fund Requirement for State level activities

FY 2016-17

(Amount in Rs. Crores)

Sl. No.	State Level activities	Total expenditure upto current FY	Unspent funds available from earlier releases	Funds required for the current FY (In Crore)
1	RPMC (SMMU)	Nil	NA	0
2	PDMC			35.00
3	Others (Workshops, Seminars, etc.)			2.00
4	Individual capacity buildings			



		Total	Nil	-	37.00	
<b>Table 7.2: Annual Action Plan for Capacity Building</b>						
Form 7.2.3 -Total Fund Requirement for Capacity Building						
FY 2017-20						
(Amount in Rs. Crores)						
Sl. No.	Fund requirement	Individual (Training & Workshop)	Institutional/ Reform	SMMU/RPMC/CMMU	Others	Total (In Crore)
1	Total release since start of Mission (2015)	0	0	0	0	0
2	Total utilisation- Central Share	-	-	-	-	-
3	Balance available- Central Share	-	-	-	-	-
4	Amount required- Central Share	-	-	-	-	-
5	Total fund required for capacity building	2.00	35.00		Nil	37.00

	in current FY 2017-20				
--	-----------------------	--	--	--	--

**Table 7.2: Annual Action Plan for Capacity Building**

**Form 7.2.4 Details of Institutional Capacity Building**

**FY 2016-17**

**(Amount in Rs. Crores)**

A	Is the State willing to revise their town planning laws and rules to include land pooling?	Yes
B	List of ULBs willing to have a credit rating as the first step to issue bonds?	All 4 ULBs
C	Is the State willing to integrate all work done in GIS in order to make GIS useful for decision making in ULBs?	Yes
D	Is the State willing to take assistance for using land as fiscal tool in ULBs?	Yes
E	Does the State require assistance to professionalize the municipal cadre?	Yes
F	Does the State require assistance to reduce non-revenue water in ULBs?	Yes
G	Does the State require assistance to improve property tax assessment and collections in ULBs?	Yes

H	Does the State require assistance to establish a financial intermediary?	Yes
---	--	-----

- **What is the physical and financial Progress of capacity development at state level?**  
MoU has been signed with RCUES,Lucknow. However, there is no financial progress.
- **Do you feel that there is a need to include any other category of official, new department or module?**  
No.
- **What are the issues that are been identified during the review?**  
Does not arise.
- **Have the activities in your current year Capacity Building Plan – training, exposure visits (ULB staff and elected representatives), seminars/workshops, etc. – been vetted/approved by NIUA?**  
No.
- **What is the present institutional capacity in the ULBs of the state; have the RPMC, UMC, etc. been appointed? Are there other PMUs, PIUs, etc. which are still operational?**  
No..
- **What has been the progress during the previous years in institutional capacity building, especially but not only in the seven areas that are mentioned in the AMRUT Guidelines? (p. 67)**  
No major progress can be tapped till date.

## State Annual Action Plan (SAAP), Govt. of Assam FY 2017-20

Note: The state has prepared a plan for capacity building and has initiated the identification and formal engagement with the training institute.

### 5. A&OE

The 10% allocation for A&OE has been divided into two parts, 8% State fund and 2% GoI fund. The Plan of Action in Table 4 as prescribed in AMRUT Guidelines has been duly filled up as below and point-wise answers to the questions laid down in the guideline are given below:-

<b>Table 4: SAAP - Broad Proposed Allocations for Administrative and Other Expenses</b>								
FY 2016-17								
Amount in Rs .Crores								
Sl.No	Items proposed for A&OE	Total	Committed Expenditure from previous year (if any)	Proposed spending for SAAP 2017-20	Balance to Carry Forward			
					FY 2017	FY 2018	FY 2019	FY 2020
1	Preparation of SLIP and SAAP	1.0	0	1.00	1.0	0	0	0

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

2	PDMC	35.00	0	35.00	5.0	10	10	10
3.	Procuring Third Party Independent Review and Monitoring Agency	1.00	0	1.00	0	0.25	0.50	0.25
5	Publications (e- Newsletter, guidelines, brochures etc.)	1.0	0	1.00	0.1	0.30	0.30	0.30
6	Capacity Building and Training CCBP, if applicable- Others	4.5	0	4.5	1.0	2.0	1.5	0
7	Reform implementation	4.92	0	4.92	0.92	1.5	1.5	1.0
8	Others	5.0	0	5.00	1	1	1	2
<b>Total</b>		<b>52.42</b>	<b>0</b>	<b>52.42</b>	<b>9.02</b>	<b>15.05</b>	<b>14.8</b>	<b>13.55</b>

- **What is the committed expenditure from previous year?**  
No committed expenditure.
- **What are the issues that are been identified during the review?**  
Does not arise.
- **Have the A&OE fund used only for admissible components?**  
No A & OE fund has been used.
- **How the ULB/State wants to carry out the implementation of the projects, (establishment of IRMA/PDMC/SMMU/CMMU)?**  
State is on the way to appoint PDMC as suggested in Mission Guidelines. The RFP has already been issued. IRMA will also be appointed subsequently..

### 3. Financing of Projects

Financing is an important element of the SAAP. The Guidelines define the share of funding between the Central Government, the State Governments and the ULBs. The following responses to various issues are given below.

- **What is the State contribution to the SAAP? (It should not be less than 20 percent of the total project cost, Para 7.4 of AMRUT Guidelines)**  
The State Government will contribute entire 10% of the project cost, while 90% will be contributed by Gol as ACA.

- **Fill out Table 3.4 at pg.45 of AMRUT Guideline. How the residual financing (over and above Central Government share) is shared between the States, ULBs?**

The duly filled-up Table 3.4 has been given below.

- **Fill out Table 3.3 at pg.44 of AMRUT Guideline. Have any other sources been identified by the State/ULB (e.g. PPP, market borrowing)? Please discuss.**

The duly filled up Table 3.3 has been given below.

- **Whether complete project cost is linked with revenue sources in SAAP? How?**

Project costs have been linked to all possible funding/revenue sources. However, the State Government may step in as required on a case-to-case basis as the Mission progresses.

- **Have projects been dovetailed with other sectoral and financial programmes of the Centre and State Governments?**

Projects will be dovetailed with other sectoral and financial programmes of the Central Government like the JnNURM, ADB, Swachh Bharat Mission, Smart Cities Mission, 14<sup>th</sup> Finance Commission etc.

- **Has the state/UT explored the possibility of using Public Private Partnerships (PPP), as a preferred execution model? Please discuss.**

Not yet explored.

- **Any PPP options included in appropriate Service Level Agreements (SLAs) which may lead to the People Public Private Partnership (PPPP) model? How?**

No.

**Table 3.4: SAAP - Year Wise Share of Investments for All Sectors (ULB Wise)**

Name of City	Total Project Investment	Committed Expenditure (if any) from Previous year 2015-16						Proposed Spending during Current Financial year 2016-17						Balance Carry Forward for Next Financial Years Till 2020								
		Centre	State			ULB			Centre	State			ULB			Centre	State			ULB		
			14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total			
Guwahati	87.13	1.53	0.17		0.17	0	0	0	1.69	0	0.18	0.18	0	0	0	75.20	0	8.36	8.27	0	0	0
Nagaon	217.20	0.90	0.10		0.10	0	0	0	192.95	0	21.45	21.45	0	0	0	1.62	0	0.18	0.18	0	0	0
Dibrugarh	187.46	166.01	18.45		18.45	0	0	0	1.08	0	0.12	0.12	0	0	0	1.62	0	0.18	0.18	0	0	0
Silchar	165.35	0.90	0.10		0.10	0	0	0	1.08	0	0.12	0.12	0	0	0	146.84	0	16.31	16.53	0	0	0



<b>Total</b>	<b>657.14</b>	<b>169.34</b>	<b>18.82</b>	<b>18.82</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>196.80</b>	<b>0</b>	<b>21.87</b>	<b>21.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>225.28</b>	<b>0</b>	<b>25.03</b>	<b>25.03</b>	<b>0</b>	<b>0</b>	<b>0</b>
--------------	---------------	---------------	--------------	--------------	----------	----------	----------	---------------	----------	--------------	--------------	----------	----------	----------	---------------	----------	--------------	--------------	----------	----------	----------

**Table 3.3: SAAP- ULB Wise Source of Funds for All Sectors**

FY 2017-20  
(Amount in Rs. Crores)

Name of City	Centre	State			ULB			Convergence	Others (e.g. incentive)	Total
		14 <sup>th</sup> FC	Others	Total	14 <sup>th</sup> FC	Others	Total			
Guwahati	75.20	0.00	8.36	8.36	0.00	0.00	0.00	0.00	0.00	<b>83.56</b>
Nagaon	1.62	0.00	0.18	0.18	0.00	0.00	0.00	0.00	0.00	<b>1.80</b>
Dibrugarh	1.62	0.00	0.18	0.18	0.00	0.00	0.00	0.00	0.00	<b>1.80</b>

State Annual Action Plan (SAAP), Govt. of Assam  
FY 2017-20

<b>Silchar</b>	146.84	0.00	16.31	16.31	0.00	0.00	0.00	0.00	0.00	<b>163.15</b>
<b>Total</b>	<b>225.28</b>	0.00	<b>25.03</b>	<b>25.03</b>	0.00	0.00	0.00	0.00	0.00	<b>250.31</b>