



No. K-14012/101(25)/2017-CBUD  
Government of India  
Ministry of Housing and Urban Affairs  
Capacity Building for Urban Development (CBUD) Division  
\*\*\*\*\*

Nirman Bhavan, New Delhi  
Dated: 09<sup>th</sup> October 2017

**OFFICE MEMORANDUM**

**Subject: Integrated Capacity Building covering all Missions of Ministry of Housing and Urban Affairs.**

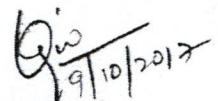
The undersigned is directed to refer to this Ministry's OM of even number dated 18<sup>th</sup> September 2017 regarding implementation of a new Integrated Capacity Building framework covering all Urban Missions viz. AMURT, Swachh Bharat Mission (SBM), Smart Cities Mission (SCM), National Urban Livelihood Mission (NULM), Housing for All (HFA), Pradhan Mantri Awas Yojana (PMAY) and HRIDAY.

2. The Ministry has already issued outlines for the Integrated Orientation Capsule for Municipal functionaries and the Integrated Sensitization Capsule for Elected Representatives vide aforesaid OM dated 18<sup>th</sup> September 2017. Further to above, the content for the Integrated Orientation Capsule is being provided for enabling the Training Entities to develop the customized curriculum based on the needs of the States/Cities and target audience (enclosed). Similarly, the curriculum may also be developed for an Integrated Sensitization Capsule for Elected Representatives with appropriate customization.

3. Training Entities may share their respective curriculum developed for the programmes with the NIUA for its feedback and suggestions with a view to facilitate the adoption of a uniform structure. However, in order to prevent any delays, training entities may concurrently begin rolling out of such modules and make requisite changes/improvements as and when they receive feedback from the NIUA.

4. The States/UTs are requested to start implementing the new Integrated Orientation and Sensitization programmes as per the given outlines/content, under the existing MOUs signed with empaneled training entities at the earliest.

Encls: As above.

  
(G. Vijay Kumar)

Under Secretary to the Govt. of India  
Tel. No. 23063217

To

- (1) The Principal Secretaries (UD) of all States/UTs.
- (2) The Director, NIUA, 1<sup>st</sup> Floor, Core 4B, IHC, Lodhi Road, New Delhi-3.
- (3) Training Entities empanelled for Individual Capacity Building under AMRUT.

Copy to:

- (1) Additional Secretary (Smart Cities), MoHUA, Nirman Bhavan, New Delhi.
- (2) Joint Secretary (AMRUT), MoHUA, Nirman Bhavan, New Delhi.
- (3) Joint Secretary (SBM), MoHUA, Nirman Bhavan, New Delhi.
- (4) Joint Secretary (W&H), MoHUA, Nirman Bhavan, New Delhi.
- (5) Joint Secretary (HFA), MoHUA, Nirman Bhavan, New Delhi.
- (6) Joint Secretary (NULM) and Mission Director, Capacity Building, MoHUA, Nirman Bhavan, New Delhi.
- (7) PPS to Secretary, MoHUA, Nirman Bhavan, New Delhi.

जि. विजय कुमार/G. VIJAY KUMAR  
अवर सचिव/Under Secretary  
आवासन और शहरी कार्य मंत्रालय  
Ministry of Housing and Urban Affairs  
भारत सरकार/Govt. of India  
नई दिल्ली/New Delhi

# INDICATIVE COVERAGE OF CONTENT

## Integrated Orientation Programme

DAY 1		
10.00 to 10.45 am	<i>Introductions and ice-breaking</i>	
Session 1 10.45 to 11.45 am	<b>Urban Sector Overview</b> <ul style="list-style-type: none"> <li>Issues and challenges in the urban sector</li> <li>Role of the various Missions</li> <li>Need for convergence and integration during planning and implementation of various missions</li> </ul>	Presentation by expert
11.45 to 12.00 pm	<i>Tea</i>	
Session 2 12.00 to 1.30 pm	<b>Reforms in Urban Development</b> <ul style="list-style-type: none"> <li>Reforms under AMRUT (credit rating, energy audit, MBBL, NRW, transformational reforms including trust &amp; verify, land titling etc.)</li> <li>Reforms under PMAY(U)</li> </ul>	Presentation by expert
1.30 to 2.15 pm	<i>Lunch</i>	
THEME : IMPROVING URBAN SERVICES		
Session 3 2.15 to 3.45 pm	<b>Water Supply and Sanitation under AMRUT</b> <ul style="list-style-type: none"> <li>Including service level benchmarks, SLIPs, performance based management, reuse of waste water</li> <li>Convergence with PMAY(U) housing projects</li> </ul>	Presentation by expert
3.45 to 4.00 pm	<i>Tea</i>	
Session 4 4.00 to 5.30 pm	<b>Good practices in Water and waste water management and reuse</b>	Case discussions or site visits
DAY 2		
10.00 to 10.15 am	<i>Recap of previous day's takeaways</i>	
THEME: IMPROVING URBAN SERVICES (continued)		
Session 1 10.15 to 11.45 am	<b>Improving Service Delivery</b> <ul style="list-style-type: none"> <li>Use of Smart Solutions</li> </ul>	Presentation by expert
11.45 to 12.00 pm	<i>Tea</i>	
Session 2 12.00 to 1.30 pm	<b>Improving cleanliness in urban areas under SBM</b> <ul style="list-style-type: none"> <li>Including role of IEC</li> </ul>	Presentation by expert
1.30 to 2.15 pm	<i>Lunch</i>	
THEME: PHYSICAL IMPROVEMENT OF URBAN AREAS		

Session 3 2.15 to 3.45 pm	<b>Area improvement under HRIDAY and SCM</b> <ul style="list-style-type: none"> <li>Heritage, place-making and development of open spaces/public spaces</li> <li>Management and rejuvenation of intangible heritage</li> </ul>	Presentation by expert
3.45 to 4.00 pm	<i>Tea</i>	
Session 4 4.00 to 5.30 pm	<b>Good practices in area improvement, heritage management, place-making, open spaces, riverfront projects etc.</b>	Case discussions or site visits
<b>DAY 3</b>		
10.00 to 10.15 am	<i>Recap of previous day's takeaways</i>	
<b>THEME: PHYSICAL IMPROVEMENT OF URBAN AREAS (continued)</b>		
Session 1 10.15 to 11.45 am	<b>Affordable urban housing under PMAY(U)</b> <ul style="list-style-type: none"> <li>Including convergence of other missions in PMAY(U) housing projects</li> </ul>	Presentation by expert
11.45 to 12.00 pm	<i>Tea</i>	
<b>THEME: ADDRESSING URBAN POVERTY THROUGH SOCIAL MOBILISATION AND LIVELIHOOD PROMOTION</b>		
Session 2 12.00 to 1.30 pm	<b>Improving livelihood opportunities under NULM</b> <ul style="list-style-type: none"> <li>Vision, guiding principles, strategy</li> <li>Components and linkage between various components</li> </ul>	Presentation by expert
1.30 to 2.15 pm	<i>Lunch</i>	
Session 3 2.15 to 3.45 pm	<b>Implementing components of the NULM</b> <ul style="list-style-type: none"> <li>Social mobilization and institutional development</li> <li>Skill training and self-employment</li> <li>Support to street vendors</li> <li>Shelter for urban homeless</li> </ul>	Presentation by expert
3.45 to 4.00 pm	<i>Tea</i>	
Session 4 4.00 to 5.30 pm	<b>Good practices of construction technology in urban housing, Good practices under NULM - Self Help Groups (SHGs)/ Area Level Federations (ALFs) /City Level Federations (CLFs) / City Livelihood Centres (CLCs)/ Shelter for Urban Homeless (SUH)/ Vending Zones</b>	Case discussions or site visits

## Day 1

### Session 1: Urban Sector Overview

#### A. Objectives of the Session

1. To make the participants aware about issues and challenges in the urban sector
2. To emphasize the role of the various Missions in addressing these challenges
3. To create an urgency for convergence and integration during planning and implementation of various missions

#### B. Indicative topics to be deliberated are:

1. Urbanization in India: Growth and characteristics
2. The Urban Service Provision and Governance challenge  
Challenges in Water (NRW, 24\*7 supply), Sanitation (ODF, Individual/Community/Public toilets), and waste management (both solid and liquid wastes, reuse and treatments etc.)
3. Challenges regarding built environment (urban sprawl, slums, affordable housing, urban heritage etc.)
4. Challenges in transport and mobility,
5. Challenges in social sectors (health and education), economic development and livelihoods
6. Financial, technical and human resource-related challenges
7. GoI Missions and their role in addressing different challenges - AMRUT, SCM, NULM, HRIDAY, SBM, PMAY(U)
8. Need and urgency for convergence across Missions during planning and implementation due to the cross cutting nature of Mission Components and inter-dependency

## Day 1

### Session 2: Reforms in Urban Development

#### A. Objectives of the Session

1. To make the participants aware of the various reforms under AMRUT and PMAY and their requirements
2. To provide an understanding on the outcomes resulting from the reform programs under AMRUT & PMAY
3. To highlight good initiatives under various reforms by different cities and states
4. To make the participants aware of the support being extended by the MoHUA and leveraging with other Missions in implementation of reforms
5. To give way forward and steps to be initiated by cities and states

## B. List of reforms to be deliberated

### 1. Reforms under AMRUT

E- governance, Constitution and professionalization of Municipal cadre, Augmenting Double Accounting, Urban Planning and City-level Plans, Devolution of funds and functions, Review of Building by laws, Set-up financial intermediary at the state level, Municipal tax and fees improvement, Improvement in levy and collection of user charges, Credit rating and Energy and Water Audit.

### 2. Reforms under PMAY

- I. **Dispensing the need for separate Non-Agricultural (NA) Permission:** State/UTs shall remove the Requirement of Separate Non-Agricultural (NA) Permission in case land falls in Residential Zone Earmarked in the Master Plan of the City.
  - II. **Prepare/amend their Master Plans earmarking land for Affordable Housing:** State/UTs shall prepare/ amend the Master Plans Earmarking land for Affordable housing.
  - III. **Single-window, time bound clearance for layout approval and building permissions:** State/UTs shall put in place a Single-window time bound clearance system for layout approvals and Building Permissions
  - iv. **Adopt the approach of deemed building permission and layout approval on the basis of pre-approved lay outs and building plans:** States/UTs shall adopt pre-approved building permission and layout approval system for EWS/LIG housing or exempt approval below certain built-up area/plot area.
  - v. **Amend or legislate existing rent laws on the lines of the Model Tenancy Act:** States/UTs shall legislate or amend or existing rent laws on the lines of the Model Tenancy Act.
  - vi. **Additional Floor Area Ratio (FAR)/Floor Space Index (FSI)/ Transferable Development Rights (TDR) and relaxed density norms:** Provide additional Floor Area Ratio (FAR)/Floor Space Index (FSI)/ Transferable Development Rights (TDR) and relaxed density norms for slum redevelopment and low-cost housing
3. Other Support by MoHUA (Model documents, Empanelment of Organizations etc. and Policy support).

## C. References

- <http://amrut.gov.in/writereaddata/List%20of%20Reforms.pdf>
- [http://amrut.gov.in/writereaddata/designandStandards\\_AMRUT.pdf](http://amrut.gov.in/writereaddata/designandStandards_AMRUT.pdf)
- [http://amrut.gov.in/writereaddata/Reforms\\_Incentive\\_Claims\\_Toolkit1.pdf](http://amrut.gov.in/writereaddata/Reforms_Incentive_Claims_Toolkit1.pdf)
- <http://smartcities.gov.in/upload/5901982d9e461VCFPolicyFrameworkFINAL.pdf>
- [http://mhupa.gov.in/writereaddata/Model\\_State\\_Affordable\\_Housing\\_Policy\\_Draft.pdf](http://mhupa.gov.in/writereaddata/Model_State_Affordable_Housing_Policy_Draft.pdf)
- [http://mhupa.gov.in/User\\_Panel/UserView.aspx?TypeID=1535](http://mhupa.gov.in/User_Panel/UserView.aspx?TypeID=1535)
- <http://mhupa.gov.in/writereaddata/1564.pdf>
- [http://mhupa.gov.in/User\\_Panel/UserView.aspx?TypeID=1536](http://mhupa.gov.in/User_Panel/UserView.aspx?TypeID=1536)
- <http://amrut.gov.in/writereaddata/List%20of%20Reforms.pdf>
- <http://amrut.gov.in/writereaddata/Preparation%20of%20SAAPs.pdf>

## Day 1- Theme: IMPROVING URBAN SERVICES

### Session 3: Water Supply and Sanitation under AMRUT

#### A. Objectives of the Session

1. To give an overview on the thrust areas of AMRUT
2. To emphasize the need for leveraging AMRUT interventions for providing trunk infrastructure to PMAY(U) projects

#### B. Convergence under AMRUT

1. Preparation of Service Level Improvement Plan (SLIP) and State Annual Action Plan (SAAP) based on Service Level Benchmarks (SLBs)
2. Performance-based Management Contract (PBMC) systems for providing improved services
3. Action plans
4. Prioritizing housing colonies developed under PMAY(U) for drinking water supply in the AMRUT mission cities as well ensuring sewerage connectivity.
5. Waiver of deposit for individual house service connections and sewerage connections for EWS category constructed houses under PMAY and concessions in user chargers.

#### C. References

<http://amrut.gov.in/writereaddata/AMRUT%20Guidelines%20.pdf>

<http://amrut.gov.in/writereaddata/Mission%20Components.pdf>

<http://amrut.gov.in/writereaddata/Preparation%20of%20SLIPs.pdf>

<http://amrut.gov.in/Presentations.aspx>

<http://amrut.gov.in/writereaddata/Preparation%20of%20SLIPs.pdf>

<http://amrut.gov.in/writereaddata/Preparation%20of%20SAAPs.pdf>

<http://amrut.gov.in/writereaddata/Thrust%20Areas.pdf>

## Day 2 - Theme: IMPROVING URBAN SERVICES

### Session 1: Improving Service Delivery: Use of Smart Solutions

#### A. Objectives of the Session

1. To highlight issues of core services and need for enhanced service delivery and the concept of service outcomes
2. To highlight importance and implementation of technology-enabled Smart Solutions for improved service delivery
3. To increase awareness about the advantages of Integration of Smart Solutions under Smart Cities Mission.
4. To give an overview of Smart Solutions for core services proposed in SCPs.

## B. Indicative topics to be deliberated

### a. Smart Water Supply Management

1. Performance based service contracts for water supply distribution management
2. Effective monitoring through Supervisory Control and Data Acquisition (SCADA)
3. GIS enabled Hydraulic Modelling through District Metering Areas (DMA) approach for effective management of water losses.
4. Automated Smart Water Meters
5. IoT and Sensor based technologies for online water quality monitoring
6. Issues and challenges for implementing smart solutions at city level

### b. Smart Waste Management

1. IT based solutions for monitoring waste collection and transportation.
2. GPS based vehicle tracking and Smart Bins which use RFID based technologies for waste management, enhanced cost recovery, and promoting energy efficiency.
3. Pneumatic waste collection
4. Issues and challenges for implementing smart solutions at city level

### c. Smart Urban Mobility

1. An overview of Smart Urban Mobility solutions proposed in Smart City Plans
2. Urban Mobility: Basic Infrastructure vs. Smart Infrastructure
3. Intelligent Traffic Management Systems through IoT based solutions for centralized traffic signal control and management.
4. Smart Parking management
5. Sensor based technologies for enhanced walkability of disabled, senior citizens.
6. GPS based vehicle tracking for route optimization, Passenger Information Systems.
7. Issues and challenges for implementing smart solutions at city level

### d. Smart Lighting and Energy Management (Solar Rooftop)

1. An overview of Smart Solutions proposed in Smart City Plans
2. Smart Metering

### e. Integrated Smart Solutions through Centralized Command & Control Centers

(City back bone Network, City Wifi, City Surveillance, Smart lighting, ICT Enabled Solid Waste Management, Smart Traffic, Smart Parking, Environmental Sensors, City Bus Intelligent Transport System, Smart Governance and Citizen Services)

- i. Backbone Network (Optical Fiber Cable, OFC): Existing network on Lease from the service provider or laying of new OFC by cities on EPC mode. Options for cities to choose from.
- ii. Data Centre: Options of city level data center vs. existing data center at the state level (if any). In order to avoid multiple data center at the city level, use of state data center is a better option e.g. all the smart cities in a state can have data center at the State Data Center.
- iii. Disaster Recovery: Data center at a common location (SDC); leveraging cloud technologies (Meghraj).

- iv. Master System Integrator for ICC and Service Level Agreements; Aspects related to Scalability, Security, Manageability, Interoperability, Open Standards.

### C. References:

- [http://smartcities.gov.in/upload/uploadfiles/files/SmartCityGuidelines\(1\).pdf](http://smartcities.gov.in/upload/uploadfiles/files/SmartCityGuidelines(1).pdf)  
<http://smartcities.gov.in/content/innerpage/smart-solutions.php>  
<http://smartcities.gov.in/content/presentationview.php?newid=26>  
<http://smartcities.gov.in/upload/presentation/59955baa6a6a7Place%20making%20guideline%20Bhopal.pdf>  
[http://smartcities.gov.in/upload/uploadfiles/files/Workshop\\_21st\\_07\\_2017\(3\).rar](http://smartcities.gov.in/upload/uploadfiles/files/Workshop_21st_07_2017(3).rar)

## DAY 2 - THEME: IMPROVING URBAN SERVICES

### Session 2: Improving cleanliness in urban areas under SBM

#### A. Objectives of the Session

1. To share the objectives of Swachh Bharat Mission (Urban) & its components
2. To discuss the role of IEC
3. To impart know-how of Swachh Sarvekshan
4. To share working knowledge on ICT related and MIS aspects
5. To make participants aware about the Ministry support and leveraging under other missions

#### B. Indicative topics to be deliberated

##### a. Sanitation

- 1) Mission progress under sanitation component (IHHL, CT and PT) – overall and city specific
- 2) Issues and challenges for SBM at city level
- 3) ODF Definition and Protocol for declaration of ODF
- 4) Factors causing slippage in ODF status
- 5) Importance of gender segregated and disabled friendly CT/PT in ensuring safety and achieving inclusion

##### b. Importance of Behaviour Change Communication (BCC)/ Information Education Communication (IEC) in achieving sustainable outcomes

1. Engagement with SHG, CBOs, NGOs, Community Champions, etc.
2. Engagement with multiple stakeholders (students, teachers, religious leaders, SBM Ambassadors, hospital authorities, transport authorities, market associations/ shopkeepers/vendors, bulk waste generators, etc.)
3. Learnings from multi-media communication campaigns ('Asli Tarakki', 'Compost Banao, Compost Apnao', 'Har Din Do Bin' and 'Swachh Shauchalaya)
4. Recent initiatives undertaken by MoHUA for promoting citizen engagement – launch of video films for motivating senior citizens and home makers to devote their time in SBM activities, etc.



- c. **Solid Waste Management (SWM) and Swachh Neighborhood**
  - 1. Mission progress under SWM component
  - 2. Initiatives taken under SWM to promote citizen engagement:
    - Source segregation
    - Decentralized composting by RWAs, bulk waste generators, etc. (In accordance with SWM Rules, 2016)
  - 3. Policy changes under SWM – Waste to Compost, Waste to Energy, use of plastic waste in road construction, etc.
  - 4. Key features of Swachh Neighborhood
- d. **Key ICT Initiatives**
  - 1. Swachhata App – a grievance redressal platform for citizens to report sanitation or cleanliness related complaints
  - 2. 1969 Call Centre – citizen information and grievance helpline
  - 3. Swachh Polls – citizen feedback system installed in public toilets
- e. **SWACHH CSR Portal – marketplace platform for obtaining CSR funding**

### C. References:

- <http://mdws.gov.in/documents/guidelines>
- <http://swachhbharatmission.gov.in/SBMCMS/sbm-mis.htm>
- <http://sbm.gov.in/sbmReport/home.aspx>
- <http://sbm.gov.in/sbmdashboard/GISData.aspx>

## Day 2 - Theme: PHYSICAL IMPROVEMENT OF URBAN AREAS

### Session 3: Area improvement under HRIDAY and SCM

#### A. Objectives of the Session

- 1. To create awareness on conservation of Built Heritage, Cultural Heritage and Natural Heritage in cities
- 2. To share experience from HRIDAY and SCM cities
- 3. To share effective linkages between tourism and cultural facilities to create place-making in heritage precincts and culture

#### B. Indicative topics to be deliberated

- 1. Introduction to types of heritage assets (Tangible & Intangible) and its conservation (Built Heritage, Religious / Cultural Heritage, Natural Heritage)
- 2. Historic Cities and Key Challenges India
  - a. Lack of regulation and management framework
  - b. Planning challenges in historic Core areas
  - c. lacunae in capacities of the Urban Local Bodies
  - d. Lack of awareness, support, facilitating mechanisms
- 3. Mechanisms for heritage led revitalization at city/town, regional level
- 4. Facilitating mechanisms for investments

5. Collaborative stakeholder working (Culture, U.D, Tourism, Industries, Skills, etc)
6. Absence of formal policy, guidelines, mechanisms for intangible heritage conservation and management
7. Planning, development and implementation of heritage sensitive infrastructure
  - a. Service delivery and infrastructure provisioning in historic city core areas.
  - b. Preserve and revitalize heritage
  - c. Heritage asset inventory of cities - natural, cultural, living and built heritage as a basis for urban planning, growth and service provision & delivery.
  - d. Local capacity enhancement for inclusive heritage-based industry
  - e. Urban heritage adaptive rehabilitation and maintenance, including appropriate technologies for historic buildings retrofitting
  - f. Establish and manage effective public private partnership for adaptive urban rehabilitation.
  - g. Making cities informative and communicative with use of modern ICT tools and making cities secure with modern surveillance and security apparatus
  - h. Increase accessibility i.e. physical access (roads as well as universal design) and intellectual access (i.e. digital heritage and GIS mapping of historical locations/ tourist maps and routes).
8. Planning & Development initiative tools (City HRIDAY Plan)
9. Development, importance and use of City Specific HRIDAY Toolkit, Area-wide Urban Guideline Toolkit, Public Streetscape, Plaza & Open Space Guideline Toolkit, Building Guideline Toolkit
10. Alternative Examples of Best Practices (Mumbai, Ahmedabad, Agra, Pondicherry)
11. Place-making and Development of Open Spaces
  - a. Development of Parks (All abilities Park, Children Friendly Park, Disabled Friendly Park)
  - b. Issues and challenges for implementing smart solutions at city level.

### C. References:

- [http://hridayindia.in/downloads/Operational\\_Guidelines\\_for\\_HRIDAY\\_scheme.pdf](http://hridayindia.in/downloads/Operational_Guidelines_for_HRIDAY_scheme.pdf)  
[http://hridayindia.in/downloads/Presentation\\_for\\_29th\\_May\\_Orientation\\_Workshop -  
 \\_HRIDAY\\_City\\_Anchors.pdf](http://hridayindia.in/downloads/Presentation_for_29th_May_Orientation_Workshop_-_HRIDAY_City_Anchors.pdf)

## Day 3 – THEME: PHYSICAL IMPROVEMENT OF URBAN AREAS

### Session 1: Affordable Housing under PMAY (U)

#### A. Objectives of the Session:

1. To give an overview of the Mission highlights and its components
2. To impart knowledge on the role of various verticals of PMAY in creating affordable housing stock and thus improving physical environment
3. To share some innovative implementation approaches
4. To make the participants aware of the support being extended by Ministry

## B. Indicative topics to be deliberated

1. Mission Highlights
2. Convergence with Other Ministries
3. Components of PMAY(U)
  - Slum Rehabilitation: Slum rehabilitation grant of Rs. 1 lakh per house on an average for all eligible slum dwellers in all such project.
  - Promotion of Affordable Housing through credit linked subsidy: The interest rate subvention at 6.5% for both EWS/LIG categories
  - Affordable Housing in Partnership with Public & Private sectors: Central assistance at Rs. 1.5 Lakh per EWS house in projects where 35% of the houses are mandatorily for EWS
  - Subsidy for beneficiary-led individual house construction or enhancement: Rs. 1.5 lakh per house for EWS category in slums or otherwise if States/cities make a project.
4. Capacity Building and A&OE
  - State level Technical Cell (SLTC) and City level Technical Cells (CLTC)
  - Third party agencies (TPQMA) and social audit plan.
  - Resource Centres
  - Preparation of HFAPoA.
5. Other initiatives under PMAY(U)
  - Technology Sub-Mission
  - India Housing Construction Technology Challenge
  - MIS and Use Space Technology Tools (Geo Tagging)
  - Direct Benefit Transfer (DBT)
  - Model Public Private Partnership Policy for Affordable Housing in India

## C. References:

[http://pmaymis.gov.in/PDF/HFA\\_Guidelines/hfa\\_Guidelines.pdf](http://pmaymis.gov.in/PDF/HFA_Guidelines/hfa_Guidelines.pdf)

<http://nhb.org.in/wp-content/uploads/2016/09/Amendment-in-guidelines.pdf>

[http://pmaymis.gov.in/PDF/HFA\\_Guidelines/PPP\\_Policy.pdf](http://pmaymis.gov.in/PDF/HFA_Guidelines/PPP_Policy.pdf)

<http://pmaymis.gov.in/Auth/Login.aspx>

<http://bmtpc.org/admin/PublisherAttachement/Compendium%20of%20Prospective%20Emerging%20Technologies%20for%20Mass%20Housing%20-%20Second%20EditionAtt.pdf>

[http://mhupa.gov.in/User\\_Panel/CRGFView.aspx?TypeID=1455](http://mhupa.gov.in/User_Panel/CRGFView.aspx?TypeID=1455)

[http://mhupa.gov.in/writereaddata/Comments\\_sought\\_on\\_draft\\_Model\\_Public\\_Private\\_Partnership\\_Policy\\_for\\_Affordable\\_Housing\\_in\\_India.pdf](http://mhupa.gov.in/writereaddata/Comments_sought_on_draft_Model_Public_Private_Partnership_Policy_for_Affordable_Housing_in_India.pdf)

## Day 3 – THEME: ADDRESSING POVERTY THROUGH SOCIAL MOBILIZATION AND LIVELIHOOD PROMOTION

### Session 2: Improving livelihood opportunities under NULM

#### A. Objectives of the Session:

1. To give an overview of urban poverty and livelihood
2. To share main provisions of NULM guidelines under each component
3. To share details about social mobilization and institutional development

#### B. Indicative topics to be deliberated

1. Concepts of urban poverty, vulnerability, community mobilization, livelihood etc. under NULM, it's components and the linkage between them
2. Detailed guidelines for each component of NULM
3. Social Mobilization and Institution Development (SM&ID)
  - Architecture of community organizations under NULM - Self Help Groups (SHGs), Area Level Federations (ALFs) and City Level Federations (CLFs)
  - Capacity Building & Training for SHGs and their federations
  - Internal lending and assessment of SHGs for external credit
  - Universal Financial Inclusion
  - Revolving Fund Support to SHGs and their federations

#### C. Reference Material for the session

[http://nulm.gov.in/PDF/NULM\\_Mission/NULM\\_mission\\_document.pdf](http://nulm.gov.in/PDF/NULM_Mission/NULM_mission_document.pdf)

[http://nulm.gov.in/PDF/NULM\\_Mission/NULM-SMID\\_Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/NULM-SMID_Guidelines.pdf)

[http://nulm.gov.in/PDF/NULM\\_Mission/CBT-Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/CBT-Guidelines.pdf)

[http://nulm.gov.in/PDF/NULM\\_Mission/SEP\\_Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/SEP_Guidelines.pdf)

[http://nulm.gov.in/PDF/NULM\\_Mission/NULM-SUH-Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/NULM-SUH-Guidelines.pdf)

[http://nulm.gov.in/PDF/NULM\\_Mission/ISP\\_Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/ISP_Guidelines.pdf)

## Day 3 – THEME: ADDRESSING POVERTY THROUGH SOCIAL MOBILIZATION AND LIVELIHOOD PROMOTION

### Session 3: Implementing Components of NULM

#### A. Objectives of the Session:

1. To share the details of skill training and placement
2. To inform participants about the bank credit facilities available under the self-employment component and subsidy benefits
3. To share details of the schemes on urban homeless and urban street vendors
4. To emphasise the relationship between various components of NULM

## B. Indicative topics to be deliberated

1. Employment through Skills Training & Placement (EST&P)
  1. Larger Skills ecosystem in the country
  2. Skill Gap Analysis
  3. Selection of Skill Training Providers (STPs) and Certification Agencies
  4. Mobilization of candidates for skill training and batch planning
  5. Role of the ULB in skill training & placement under NULM
  6. Conducting training and certification
  7. Placement through local and national placement partners, post-placement tracking
  8. MIS, Monitoring & Reporting at National, State, City and STP levels
2. Self-Employment Programme (SEP)
  - a. Micro-finance for urban poor entrepreneurs
  - b. Beneficiary Categories for financial assistance
  - c. Financial Assistance - criteria for getting bank loan
  - d. Role of ULBs in Financial Assistance
  - e. Benefit of Interest subvention
  - f. Criteria for getting interest subvention
  - g. Credit Card for enterprise development
  - h. Technology, marketing and other support
3. Scheme of Shelters for Urban Homeless (SUH)
  1. Norms facilities, location and design for shelters
  2. Sanctioning of proposals for SUH
  3. Operations & management of shelters
  4. Linking with entitlements
  5. Monitoring & Evaluation
4. Support to Urban Street Vendors (SUSV)
  1. Pro-vending urban planning
  2. Skill development and micro-enterprise development support for street vendors
  3. Credit-enablement of Street Vendors
  4. Development of Vendors' Markets
  5. Social Security Convergence
5. Innovative and Special Projects (I&SP)
  1. Criteria and features of Innovative & Special projects
  2. Partnership modes for Innovative & Special projects
  3. Sanction by Project Approval Committee (PAC) and funding pattern
6. MIS and reporting for all components at National, State and City levels, and for STPs, ROs etc.

## D. Reference Material for the session

[http://nulm.gov.in/PDF/NULM\\_Mission/ESTP-Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/ESTP-Guidelines.pdf)

[http://nulm.gov.in/PDF/NULM\\_Mission/NULM-SUSV-Guidelines.pdf](http://nulm.gov.in/PDF/NULM_Mission/NULM-SUSV-Guidelines.pdf)

## Day 3 – THEME: ADDRESSING POVERTY THROUGH SOCIAL MOBILIZATION AND LIVELIHOOD PROMOTION

### Session 4: Good practices of (construction technologies etc. in PMAY, SHGs, ALFs, CLFs, CLCs, SUH in NULM)

#### A. Objectives of the Session:

1. To make participants aware of various cost-effective technologies in Housing
2. To share good practices for promoting urban livelihoods under NULM

#### B. List of Case Studies for Discussion

##### 1. Case Studies on Social Mobilization

- Ayangbi SHG, Social Mobilization and Institution Development (SMID), Imphal, Manipur, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Case study of Chandigarh Municipal Corporation on Women Functionaries of Area Level Federations as Swachhata Grahi, documented by Chandigarh Municipal Corporation for SKOCH Award -2017 (NULM document -01 from online resource pack)

##### 2. Case studies on Self-Employment Programme

- Beena Devi, Self-Employment Programme (SEP) Dharamshala, Himachal Pradesh, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Kiran, Self-Employment Programme (SEP), Himachal Pradesh, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Millath Gulab Chicken Masala, Self-Employment Programme (SEP), Bhadravathi area of Shimoga District, Karnataka, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Nabinoor, Self-Employment Programme (SEP), Madhya Pradesh, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Krishan Kumar, Self-Employment Programme (SEP), Jammu & Kashmir, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Fsana Parbin, Self-Employment Programme (SEP), Bhawanipatna, Odisha, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Support to SHG's in income generation activities, City Livelihood Centre, Madurai, Tamil Nadu, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- Support to SHG's in income generation activities, City Livelihoods Centre, Jaipur, Rajasthan, documented by NMMU of NULM (NULM document – 02 from online resource pack)

##### 3. Case studies on Shelter for Urban Homeless

- Shelter for Urban Homeless, Chennai, Tamil Nadu, documented by NMMU of NULM (NULM document – 02 from online resource pack)

- Transitional cum Children's Home, Special Shelter for Children, Shelters for Urban Homeless (SUH), Saron Veng, Aizawl, Mizoram, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- 4. Case studies on Employment through Skill Training and Placement
  - Mr Imdad, Employment through Skill Training and Placement (EST&P), Ranchi, Jharkhand, documented by NMMU of NULM (NULM document – 02 from online resource pack)
  - V L Krossi, Employment through Skill Training and Placement (EST&P), Aizawl, Mizoram, documented by NMMU of NULM (NULM document – 02 from online resource pack)
- 5. Case studies on working of City Livelihoods Centre
  - Support to SHG's in income generation activities, City Livelihood Centre, Madurai, Tamil Nadu, documented by NMMU of NULM (NULM document – 03 from online resource pack)
  - Support to SHG's in income generation activities, City Livelihoods Centre, Jaipur, Rajasthan, documented by NMMU of NULM (NULM document – 04 from online resource pack)
- 6. Other Case studies

Various case studies on community engagement and sanitation have been identified for the states of Maharashtra, Odisha, Tamil Nadu, Rajasthan and Andhra Pradesh under the Landscape study for NULM. While these case studies are not directly related to NULM activities, similar kind of activities can be included to improve the livelihoods options for the urban poor under the Mission (NULM document – 05 from online resource pack).
- 7. Good practices of construction technologies

### C. References:

- [http://mhupa.gov.in/writereaddata/03\\_Compandium\\_Best\\_Practice\\_States.pdf](http://mhupa.gov.in/writereaddata/03_Compandium_Best_Practice_States.pdf)
- [http://mhupa.gov.in/writereaddata/04\\_Best\\_Practices\\_Habitat\\_Planning.pdf](http://mhupa.gov.in/writereaddata/04_Best_Practices_Habitat_Planning.pdf)
- <http://nulm.gov.in/FAQs.aspx>